

2004

US foreign aid and its effects on UN General Assembly voting on important votes

Leonna Dene Griffin

Louisiana State University and Agricultural and Mechanical College

Follow this and additional works at: https://digitalcommons.lsu.edu/gradschool_theses



Part of the [Political Science Commons](#)

Recommended Citation

Griffin, Leonna Dene, "US foreign aid and its effects on UN General Assembly voting on important votes" (2004). *LSU Master's Theses*. 896.

https://digitalcommons.lsu.edu/gradschool_theses/896

This Thesis is brought to you for free and open access by the Graduate School at LSU Digital Commons. It has been accepted for inclusion in LSU Master's Theses by an authorized graduate school editor of LSU Digital Commons. For more information, please contact gradetd@lsu.edu.

US FOREIGN AID AND ITS EFFECTS ON UN GENERAL ASSEMBLY VOTING
ON IMPORTANT VOTES

A Thesis

Submitted to the Graduate faculty of the
Louisiana State University and
Agriculture and Mechanical College
in partial fulfillment of the
requirements for the degree of
Master of Arts

in

The Department of Political Science

by
Leonna D. Griffin
B.A., University of Nebraska-Lincoln, 2002
August 2004

Table of Contents

LIST OF TABLES.....	iii
ABSTRACT.....	iv
CHAPTER	
1 INTRODUCTION.....	1
2 REVIEW OF LITERATURE.....	6
3 DATA AND METHOD.....	31
4 FINDINGS.....	37
5 CONCLUSION.....	52
REFERENCES.....	57
APPENDIX	
A COUNTRIES IN THE STUDY.....	59
B COUNTRIES WITH REGIONAL CODES.....	61
VITA.....	63

List of Tables

1. Total Aid Variables and Modified Compliance.....	38
2. Total Aid Variables and Traditional Compliance.....	39
3. Change in Aid Variables and Modified Compliance.....	42
4. Change in Aid Variables and Traditional Compliance.....	43
5. Lead Aid Variables and Modified Compliance.....	45
6. Lead Aid Variables and Traditional Compliance.....	46

Abstract

US foreign aid has always been a topic in dispute amongst the American people, but there is a strategic end that Americans miss when they think of aid. The US has made an effective practice of using aid allocations as leverage to reach US foreign policy goals. One way the US reaches its goals is by altering the voting behavior in the UN so that states are compliant with US interests. There has been debate about the ability of the US to alter UN voting behavior, but this study found evidence that the US can effectively use foreign aid to influence UN voting compliance. This study will analyze 149 US aid receiving countries over a 19-year period and uses important votes to the US, not all UN resolutions. Using the important resolutions is vital to this study because I ask if the US can influence voting compliance when it is important to US interests. The best way to analyze if the US can influence UN votes when it needs to is by examining the occurrences when policymakers would put forth the effort and resources. In addition this thesis operationalizes two forms of compliance, one that measures half compliance, where abstentions and absences are treated as neutral votes, and the other that gauges active compliance, where abstentions and absences are treated as non-compliance. The second analysis examines the different effects of economic aid and military aid on voting compliance. Both economic and military aid effect half compliance, but only one form of military aid has an effect on active compliance. The third analysis tests if the US uses aid more effectively as an inducement before the vote or as rewards/punishments after the fact, my findings show that generally inducements are more effective at coercing compliance. The last analysis studies regional variations in UN voting compliance. The dummy variables for Africa, Asia, Eurasia and the Middle

East have negative correlations with voting compliance, while Latin America and Eastern Europe have positive relationships with UN voting compliance. These four analyses help to better understand the relationship between US aid and UN voting compliance and add to some of the debates in this literature.

Chapter 1 Introduction

US foreign aid allocations have always been a salient and complex issue. There tends to be the attitude that America should look after its own people before helping other peoples causing a continual demand that foreign aid be justified. Besides the possible good that foreign aid contributes to other countries, like helping poverty stricken women and children, there is also a strategic goal. Foreign aid is a tool that the US government uses to alter the behavior of other countries.

Since the Cold War the US has used foreign aid to influence voting behavior in the United Nations. By altering the votes of other countries to comply with the preferences of the US, American policymakers have had an opportunity to shape the UN to support their interests and goals. This type of influence is powerful especially since the UN has become the most inclusive international organization in the world. Since the end of World War II the number of UN members has gone from 51 in 1945 to 191 members in 2001.¹ The United Nations has become a prominent player in international politics. It has the ability to make international law, judgments against states, and validate or negate the importance of issues through its voting process. The UN is a strong institution that provides a forum for nations to air their grievances, cooperate to solve global problems, and establish order in the international community. The strength of the UN lies in its ability to lend credibility and legitimacy to the issues that are debated within it and the decisions it comes to. The US would benefit greatly to have a continued influence on the outcomes of certain UN resolutions.

¹ United Nations General Assembly, <http://unbisnet.un.org/>.

The purpose of this thesis is to see how effective the United States government is in using foreign aid to influence UN voting on key resolutions. Studies examining the relationship between foreign aid and voting compliance have been conducted in the past, but inconclusive results and methodological differences have left room for more analysis. The first part of this paper examines the role of US foreign aid as a tool of influence. I examine the relationship between US aid and UN voting. Then I examine regional differences and variations in voting compliance. There are four main arguments this paper posits. The first is that US foreign aid has a positive and significant relationship with UN voting compliance. I argue that the US allocates foreign aid to those states that will vote in compliance with the US on key resolutions. Along with the Agency for International Development, the Department of State, Department of Defense and the National Security Council are all included in the decision process of aid allocation (Ruttan 1996, 135). There is a strategic purpose in each dollar a recipient country receives and for this reason I expect to see a significant relationship between US foreign aid and UN voting compliance.

The second argument in this paper is that economic aid is generally more important to recipient states than military aid. Economic aid has the ability to influence more states because it is allocated to more countries than military aid. In the current global environment where there are many more developing countries. Aid that assists with building domestic institutions and establishing stability is more valuable. These countries are not concerned with military might, but providing for their people. Economic aid has more leverage because it is needed more.

Analyzing the different types of foreign aid has not been done in this literature before. Researchers have not looked at economic aid as a different entity than military aid, but simply grouped them together. But understanding the different effect economic and military aid has is important when examining the effects of aid on voting compliance. It is possible that economic aid has much more influence over UN voting compliance and that military aid has little influence on voting behavior. When a researcher groups both together they could cancel each other's effect out or skew the results in one direction or another. By splitting the total US aid amount into its two parts the analysis can observe which form of aid influences voting compliance more effectively. There is an inconsistency in the literature about US aid and UN voting and this could be attributed to the impact of different forms of aid. It is logical to assume that the forms of aid are used to reach different goals because each will provide a distinctive form of leverage. This type of study has not been conducted because of data constraints. Most researchers with the exception of Rai (1980) have used OECD data, which does not break aid down into its different types. The Greenbook data from the US Department of State, which does break aid into two types, has always been an available resource, but it was a tedious process to find each year from Department of State publications. Basically, the process of gathering that data was difficult and not the best option when there was OECD data in a useable form, but now the Greenbook data is in one place on the World Wide Web in an easily accessible form.² Since that the data hindrance is gone examining the two forms of US aid is now possible.

² Greenbook website, <http://qesdb.cdie.org/gbk/overview.html>.

The third argument is that reward/punishments (aid that is altered after the vote as a response to previous voting compliance) will be more effective than inducements (aid that comes before the vote as an incentive to comply) when altering UN voting compliance. Previous studies have had a difficult time finding results in this relationship, but because this study will test a longer period of time the relationship should emerge. When the benefits come after the compliance it leaves room for the US to respond proportionally to the level of compliance a recipient state had. The punishments and rewards will be more effective and hold more leverage if they are a response to compliance rather than a precursor to possible compliance. By using rewards and punishments there is an equal quid-pro-quo relationship.

The last argument that this paper will make is that regional variations in voting compliance will emerge. Latin American countries with few money generating resources will be more likely to comply with the US in exchange for aid than Middle Eastern countries that have the means to support their state and are not reliant on US aid. There are also ties with organizations or alignments that may reinforce compliant or non-compliant behavior. In the end there will be overall variations that will define the different regions and their levels of compliance with the US on key UN resolutions.

This thesis analyzes Greenbook data from the Department of State to measure economic, military, and total aid allocations. I will use the Congressional Reports on UN voting behavior from the Department of State to identify the important votes for the US. The 2002 publication of the World Development Indicators from the OECD along with Polity IV Project are used to measure all other control variables.

In the first part of this paper I present some previous research on US foreign aid and UN voting behavior. I also discuss the debates and inconsistencies that arise in the literature that directly affect theoretical and methodological considerations taken in this study. Finally I discuss specific regional variations in the UN. In the second part of this paper I present and discuss my data, methods and findings.

Chapter 2 Review of Literature

Before I review the work on UN voting behavior I will review the work of Zimmerman (1993) along with Palmer, Wohlander and Morgan (2002) and Ruttan (1996) because they provide a closer examination on the US government's ability to use foreign aid as a tool of influence.

Robert Zimmerman (1993) argues in his book, *Dollars, Diplomacy, and Dependency-Dilemmas of U.S. Economic Aid*, "the primary purpose of U.S. economic assistance is to support the diplomatic process, that is, promote overall U.S. foreign policy objectives," (Zimmerman 1993, 55). His book is about the poor record of economic aid in actually helping developing states. He traces the uses of foreign aid by the US government in the past. He argues for the effectiveness of US aid as a political tool of influence in achieving political goals. He notes that the US has used economic aid to effectively influence virtually every region in the world to reach a large array of short term US political objectives. Zimmerman (1993) argues that there are not many areas of foreign politics that the US cannot influence. He latter asserts that aid is used to strengthen the relationships between the US and leaders of recipient countries. He finds that aid has nothing to do with assisting developing states, but fulfilling short-term political goals.

Zimmerman (1993) points out that the US has used foreign aid to advance many different US objectives. His implication that foreign aid may be used to avoid an outright opposition is an important observation. The US may focus not only on compliance but also avoiding non-compliance, which Zimmerman implies are two separate ideas. The study acknowledges that the US gives large amounts of aid to states and the results

of the aid influence are never seen. However, Palmer (2002) can explain this because he argues that there may be another foreign policy goal the US is trying to reach. Aid could be used to alter behavior into inaction or remaining neutral on an issue, which is why this study includes a measure that incorporates the neutral votes. Overall, Zimmerman has shed light on the different uses of US foreign aid in altering behavior. He has shown that the US may use foreign aid to dissuade opposition or to persuade a state not to act.

Brian Lai (2003) writes about the supposed change in foreign aid goals since the Cold War. His article examines the hypothesis that since the end of the Cold War there is a different emphasis on US foreign aid goals, which focuses less on achieving political and strategic goals. He found that the US did not stop using aid as leverage when dealing with states after the fall of the Soviet Union. Even though the amounts of aid distributed fell the US has still been successful at using foreign aid as leverage over recipient states to reach foreign policy goals. His conclusion is that using foreign aid to reach political goals is even more important now than during the Cold War. Foreign aid continues to be used as leverage to influence states' behavior and aid distributions are still calculated efforts at reaching political goals.

The next author, Vernon Ruttan (1996), writes a descriptive analysis of US aid policies. He argues that when aid allocations are being calculated the first factor taken into consideration is how well the recipient can assist the US in reaching its foreign goals. Those states that are beneficial to the US interests will receive aid to influence their behavior. Foreign aid is used to strengthen the political commitment of the recipient to the donor country. Ruttan (1996) asserts that every administration since the late 1940's has considered foreign aid essential to implement any foreign policy goals.

Palmer, Wohlander and Morgan (2002) argue that different parts of a state's foreign policy resources serve different purposes. The article focuses on economic transfers by applying a general theory of foreign policy and examine when a state will use foreign aid as a tool of foreign policy. They develop a theory that explains how a state uses its foreign policy resources, like aid. They call it a "two-good," model, where foreign aid is an instrument of the state that can be used to reach different foreign policy goals. The tools a state uses in foreign politics can be used to maintain the status quo or enact change. Foreign aid is a change inspiring resource whose purpose is to alter the status quo. However, Palmer et al. argue that the environment limits the effectiveness of foreign aid. Their research finds that there are constraints to the amount of change foreign aid can create. The biggest indicator of how effective aid will be is the amount of aid that can be allocated. Their argument is that the amount of resources dedicated to a certain state will dictate if the US will be successful in changing its behavior. According to this logic, the more aid allocated the more compliant states ought to be. This study did not address the US' ability or effectiveness to use aid, but it does give a view of the US government's purpose and usage of foreign aid. Their final results are that foreign aid is directed at recipient states to behave according to the donor's benefit.

A secondary goal of the Palmer et al. (2002) piece was to justify the existence of a weak relationship between US aid and UN voting compliance. They begin by acknowledging that previous authors found inconclusive results when they tested to see what conditions allow the US to use foreign aid to influence behavior changes. However, they attribute the variation in the findings from previous studies to the fact that states may have different purposes for their foreign aid resources. In addition, weak relationships

may exist because aid allocations are only partially directed towards voting compliance and the rest of the aid leverage goes towards other goals. Aid may have a small influence on UN voting compliance because it is only supposed to have a limited affect on voting behavior. They argue that finding weak relationships could be accurate because UN voting compliance may only be a small function of foreign aid.

Dudley and Montmarquette (1976) provide a slightly different opinion of foreign aid. They look at foreign aid as a function of utility by the donor country. Their basic argument is that states do not give aid away without expecting to get something back in return and that aid is given to the states that have the most usefulness in reaching the donor's goals. Dudley and Montmarquette use two separate models to test for the supply and demand of aid from the view of the donor country. They found that aid allocation was partially based on political concerns. They also found that foreign aid had "strong decreasing returns to a donor in converting its foreign aid into impact on a given recipient country," (Dudley and Montmarquette 1976, 142). Their findings suggest that aid is unable to maintain the amount of compliance that the donor would like. Despite the similar motives and assumptions as Palmer et al. (2002), they find that the allocation of aid will become incapable of influencing behavior eventually.

The dominant theme in this literature is that foreign aid is not designed to help needy countries, but to attain specific US goals. US foreign aid has been used to influence states and exert leverage over countries since the Cold War and continues to be important and useful in achieving a wide range of US interests. The previous authors have shown that US aid can change the behavior of recipient states.

The previous literature described how effective US foreign aid could be in influencing states' behavior, but the next group deals specifically with US aid and how it influences UN voting compliance. From the literature above we can be comfortable with the assumption that US foreign aid does hold some place in American foreign politics as a source of influence. However the question now becomes does US foreign aid influence UN voting compliance?

Kegley and Hook (1991) find no relationship between US aid allocations and UN voting behavior. The study examines the effectiveness of Reagan's 1986 policy linking aid to recipient countries directly to their amount of UN voting compliance. They argue that Reagan's policy does not produce compliant behavior, in fact the level of compliance lowers after implementing the policy. The article analyzes voting and aid trends for the two years before and after the implementation of Reagan's policy.

Aid distributions and voting compliance both dropped immediately after the 1986 policy is initiated, which signifies a high coincidence level. However, Kegley and Hook calculate the changes over time with Spearman's R and Pearson's R and find that the correlations before and after the policy initiative do not change. Which means that even with the explicit linkage between aid and voting agreement combined with lower levels of aid the correlations between US aid and voting compliance stays the same. Rai (1980) could contest this finding because he finds that the US is not very effective with reward/punishment activities, which explains why this policy does not work as well as expected. Next Kegley and Hook include a one year lag in their analysis because the cause and effect between aid and voting coincidence does not occur immediately. Their lagged findings support their bivariate analysis results that after the alteration of aid

allocations they find no significant change in voting coincidence with the US. Then they perform a cross-sectional, lagged, longitudinal multiple regression before and after the policy was implemented to control for multicollinearity. They test for two aid measurements: total aid and US aid as a proportion of the GDP. This test supports the previous analyses in that the results do not support the supposed relationship between US aid and UN voting coincidence.

Marin-Bosch (1987) provides a descriptive analysis of overall UN voting trends. This article describes US voting practices, which shows the US compliance with a poor record in UN voting. He begins the article by stating that the best way to tell about a country's interests are to look at the 10-12 votes that they find important to understand why a state will behave a certain way, but dismisses this form of analysis because no two states share similar interests and there is no way to analyze across states. Instead he opts to look at all UN resolutions. His findings present a daunting view of the US' ability to influence compliant voting behavior. Most of the resolutions are reached with a majority, the average acceptance was with an 80% majority approval. Since the 1980s the level of opposition by Western states and Israel has risen to 43%. The US is unique in its percentage of rejection of UN resolutions, it has surpassed the Soviet Union levels to reach 81% rejection level. This means that only 19% of the time the US is willing to vote for a UN resolution. This does not necessarily have to be a negative point for the US, other states could comply with the US and reject the resolution. However, this seems unlikely because the US also cast the only negative vote 98 times in the 1980s. This implies that there will be little voting compliance with the US.

Russett (1967) finds that voting alignments are the main influence on UN voting behavior. He accounts for 78% of the variation in different issues by the voting groups that form in the UN General Assembly. (Russett 1967, 63) This study analyses all 66 roll call votes in the plenary and committees for the 1963 session. Russett includes every resolution vote except those that are adopted by a 90% majority. He codes each vote with a 2 for an affirmative response, 1 for abstentions, and 0 for negative votes. He takes these codes and runs a regression with the different voting alignments. He conducts a factor analysis to identify the different groups. He finds 8 clusters of voting alignments: Western Community, Brazzaville Africans, Latin America, Afro-Asians, Communists, Conservative Arabs, Iberia, and Unclassifiable. He finds that these groups develop because of shared preferences and voting patterns in the major issues in the General Assembly of UN.³ His argument is that the best predictor of UN voting behavior is the voting group a state identifies with and that countries in the US' voting cluster are the only states that will show high levels of voting compliance. Russett implies voting compliance is based on similar interests and UN voting alignments, not external non-UN factors like aid.

The next article is the foundation of contemporary studies on US foreign aid and UN voting. Eugene Wittkopf's article, "Foreign Aid and United Nations Votes: A Comparative Study," (1973) has been widely used to guide the methodology of research on aid and UN voting studies. The purpose of the article is to examine the relationships between foreign aid allocations and outcomes in the UN General Assembly. His hypothesis was "the larger the agreement in General Assembly voting exhibited by a

³ The 5 major issues for 1963 are: Cold War, Self-Determination, Intervention in Africa, Supranationalism (making the UN bigger), and Palestine.

developing state with a foreign aid donor, the greater will be the amount of aid it receives from that donor.” (Wittkopf 1973, 868) He also looks at every committee and plenary vote in 1963 and 1966. Wittkopf studies the overall relationship between any donor’s aid allocations and the recipient’s voting behavior. He utilizes OECD foreign aid data for the analysis. The donor countries were all 12 members of the Development Assistance Committee (DAC) and the Soviet Union. All aid recipient states were chosen from the group of developing nations, defined by the OECD as receiving aid from one of the DAC countries. He includes lead and lag aid variables to test if aid is allocated as an inducement as the cause for voting behavior or reward/punishment as a consequence for past voting behavior.

Wittkopf operationalizes four variables in his study, the first two variables measure foreign aid and the second two variables measure agreement. For foreign aid the first measurement is the absolute amount of foreign aid from each DAC donor to its recipients. The second is a transaction flow measurement that draws attention to above or below average aid distributions. The first agreement variable measures the instances when the donor and recipient countries vote identically as a percentage of all resolution votes. The second agreement variable is a relative agreement index that calculates the expected agreement so that the actual agreement can be compared to a statistical norm. This also allows for analysis and comparison between donors and recipients. He examines the covariation between voting patterns in 1963 and 1966 with aid allocations for 1962-1964 and 1965-1967.

Both years exhibit an overall positive correlation between foreign aid and voting agreement for the 12 donor countries. However, the findings also suggest that most

donors were giving aid to their enemies rather than their friends. The US has statistically significant results that indicate the more voting agreement states have with the US the more aid the recipient countries receive. An interesting finding is that the strong, positive statistically significant relationship between foreign aid and UN voting agreement only holds true for the US out of all 12 DAC members. The amount of voting agreement explained was too low to produce a concrete differentiation between aid as an inducement or reward/punishment so we are not able to see if aid is an incentive or if it is altered in response to previous voting compliance. But Wittkopf's study provides evidence that one of the DAC countries, the US, uses foreign aid as an influence over UN voting, which supports his hypothesis.

Kul Rai (1980) finds interesting and conflicting results in his study that examines the relationship between US foreign aid and General Assembly votes from 1967-1976. He examines two separate hypotheses for both the US and the Soviet Union, one focuses on aid as inducements and the other analyzes aid as a reward or punishment. When aid used as an inducement it is given before the vote as an incentive for voting compliance. Aid as a reward/punishment is when the amount of aid is altered as a response to previous voting behavior. He begins this study in response to earlier research that is riddled with conflicting results. He feels that there is still a need to test the relationship further because there are contrasting results from the same research question.

Rai examines votes from the plenary session and the seven main committees over a ten-year period.⁴ The data on aid is from the Department of State's official publications for the Agency of International Development. He includes three independent variables

⁴ With the exception of 1968, 1971, and 1974.

for foreign aid: total amount of aid received; per capita aid; and aid as a percentage of GDP. For his aid variables, he excludes any state that does not receive US aid for one year during his 10-year analysis. Any abstentions or absences from votes were considered non-compliant behavior and votes that were adopted by a 90% “virtually unanimous vote,” (Rai 1980, 270) were excluded. For agreement measurements Rai uses Lijphart’s Index of Agreement (1963), which places compliance levels between 0 and 100%. To test the relationship of aid as an inducement he uses a two-year lead and to test aid as a reward/punishment Rai calculates a two-year lag. He uses Pearson’s R to analyze the relationship between foreign aid and UN voting agreement as a general trend and for three regions (Africa, Latin America, and Middle East/South Asia). This is the first aid and UN voting study to specifically look at regions to determine any relationships that may be different than the general relationship. He looks at a deeper level to find the individual components that make the overall trends. This is important because they can be informative in explaining why a certain result was found.

He finds that total aid and voting agreement have no correlation and occasionally the findings report negative results. According to Rai’s results, the association between voting agreement and aid as a percentage of GDP is also weak. However, the variable for per capita aid has a positive and statistically significant relationship with UN voting agreement from 1967-1972. Another crucial finding was that US aid works more effectively as an inducement rather than reward/punishment.

The regional analysis also yields different results for each region. For the correlation between total aid and voting agreement all the regions have a negative or weak association. Latin America reveals high positive agreements between voting

agreement with per capita aid and voting agreement with US aid as a percentage of the total aid. While Africa and Middle East/South Asia have little correlation with either of those two aid variables. Even though there were higher levels of US aid allocation in Africa the relationships remain weak. And a lower aid allocation to Latin America had no effect on the strong, positive relationship.

The starting place for my research is T.Y. Wang's (1999) article "U.S. Foreign Aid and UN voting: An analysis of Important Issues." Wang looked at the previous research on US aid and UN voting and found that there may be a reason for conflicting findings. Throughout this literature we see conclusive results supporting both sides of the debate about US aid and its ability to influence UN voting compliance. Wang offers two explanations for the confusion in results. First, he finds that the previous authors use a short time span to test for this relationship. He points out that "with these methodological insufficiencies it would be difficult to observe a general trend in the relationship between US Foreign aid and UN voting patterns." Before this study the longest time in any study was seven non-consecutive years by Rai (1980). The small number of data points made it difficult to produce conclusive results. The second explanation for the variation in results is that the analysis is conducted by analyzing every UN resolution without paying any attention to key or important votes to the US. He conducts a coincidence rating test between all resolutions from 1984 to 1993 and important issues to see how well the rates compare to each other. This coincidence rate test examines how often a recipient state votes the same way as the US for a specific year. Then Wang took the coincidence, or compliance rate, for all resolutions and compares that percentage to the coincidence rate for the important votes. He finds that the average coincidence rate for all resolutions

was 19% while the coincidence rate for the important votes has an average of 55%. From this preliminary test he finds that looking at the votes that the US labeled as key or important has a significant effect on the coincidence rate with US votes. The coincidence rates on important issues also mimic aid when it falls and rises.

After the coincidence rate test, Wang analyzes the effects of US aid on UN voting patterns. He uses the Department of State data detailing important votes to measure the dependent variable- important votes coincidence rate. He uses two measures of the dependent variable. The first is directly from the State Department publications. This measurement is the coincidence of votes between the US and any aid recipient state for important resolutions. Absences and abstentions are deleted from the total number of votes cast by the recipient state. The second alternative measure also uses the coincidence rate from the State Dept. publications, but instead of ignoring the absences and abstentions Wang treats them as non-compliant votes. He then includes a lag variable as a control for each voting coincidence measurement.

The main independent variable in the study was US aid dependence, which Wang measured with two indicators. The first indicator of aid influence is the amount of total US aid as a proportion of the total aid each recipient country received. The second indicator of aid influence is the change of US aid measured by the different amounts of aid, which tests the effectiveness of increases and decreases in aid allocation by the US to influence UN voting compliance. He also introduces five other variables because coincidence could be explained by factors other than the amount of aid a state receives: level of economic development; military strength; level of democracy; multilateral aid as a percentage of total aid; the change in multilateral aid; and a control for the break up of

the Soviet Union. All of the data for these independent variables come from OECD publications.

Wang's results from his pooled cross-sectional and time series analysis support his preliminary findings. He finds that neither of the aid dependence measurements are statistically significant, as other studies have shown. But, his findings do suggest that there is a high level of compliance when aid levels are altered. This implies that states do not respond to the amount of aid they have already received, but when the US changes the levels of aid allocated as a reward or punishment the levels of compliance in UN voting shift. Wang's conclusion is that "During the ten-year period of 1984-1993 the US government has successfully induced recipient countries into supporting its position in the General Assembly on important issues by manipulating the levels of Foreign Aid," (Wang 1999, 207). He finds that when studying US aid and UN voting compliance other factors than just simple aid allocations need to be examined. The shift and changes in aid allocation can cause states to act in accordance with US preferences. Studies that look only at the amount allocated in a given year would miss the true relationship between US aid and UN voting.

The above authors have provided an array of different findings that both support and contradict a relationship between US aid and UN voting. However, Wang (1999) and Wittkopf's (1973) findings and analysis provided a clearer picture of the abilities of US foreign aid to influence UN voting than the studies that did not find a relationship between aid and voting compliance. Wang (1999) uses a new measurement of the dependent variable that incorporates important votes instead of all UN resolutions and finds a significant relationship. This study has the most convincing explanation for the

contradicting results in these studies. Wang shows that using important votes allows the researcher to observe the relationship between US aid and UN voting. Wittkopf's (1973) findings also suggest that there is a relationship, if only for the US. Since the literature rests on this study and its findings confirm the relationship between US aid and voting compliance then the validity of the results are more persuasive than Russett (1967) and Kegley & Hook (1991) who found no relationship. For these reasons my first hypothesis is that *US Foreign Aid will have a significant relationship with UN voting compliance.*

This study will rely on the previous literature to test for the relationship between US aid and voting compliance. The authors agree upon a 90% unanimous rule that throws out votes that have over 90% majority, but that may be the extent of the consensus. There are a few debates that must be examined before any of the variables can be operationalized. First, and most important, is the lack of consistency or agreement about voting compliance. There are two areas where authors have disagreed, first is the use of specific key votes or all resolutions and the second is how to operationalized abstentions and absences on individual UN votes.

Wittkopf (1973), Rai (1980), and Russett (1967) do not take any measures to distinguish between important votes to the donor states. Wittkopf (1973) studies the overall possibility of a relationship, so focusing on specific votes would have been unnecessary. Also there are 13 donor countries and it would have been nearly impossible to find the key votes to each individual country or votes that all 13 find important. Rai (1980) and Kegley and Hook (1991) follow the trend of Wittkopf (1973) because his study asserts that using all resolutions instead of picking out certain ones was a more preferable method.

As important as it is to follow the tradition of the previous literature there is a significant amount of support to deviate from the norm and use key votes to the US as the measurement of the dependent variable. Keohane (1967) finds that a country's interest will vary from one issue to another and that most votes in the General Assembly are not of great importance to the US. He finds it unlikely that the US will exert the pressure or action needed to alter voting behavior on all resolutions. Only on the votes that are in the US interests will policymakers be willing to apply pressure or coerce changes in voting behavior. Black (1968) contributes to this line of argument. He finds that the Department of State places a great deal of importance on foreign aid to alter UN voting on issues that are key to the US government.

Albert and Bernstein (1974) find that when China's admission into the UN was under discussion, a key vote to the American interests, the US used foreign aid as an influence in the vote. They find a strong and positive relationship over this issue between US foreign aid and the UN resolutions to allow PR China's admission. This relationship might not have been observed if it was included with every other vote from that year.

Kegley and McGowan (1981) indicate that there is a different level of intensity when the donor country wants to influence voting behavior on salient issues. They write that the amount of influence a state will apply depends on the priority attached to the resolution. They also note that labeling issues high and low priority, by the researcher, may be a crude measurement of the variable. However, this concern is not a valid concern because the US government, not the researcher, assigns the label of key votes.

Strom Thacker (1999) weighs in on the discussion about important votes being used instead of every UN resolution. His article is mainly about the IMF and how

politics affect it, but he includes an informative section about UN voting. He explains that since 1983 the US Department of State has gathered records on UN voting behavior of key issues. The government uses these records to assess which states have similar values with US. Thacker asserts that not all UN resolutions have the same amount of importance and that there are some votes that will demand more attention from policymakers. Foreign aid has been a tool that is used by the US to garner compliance in the past and these important votes in the UN are no exception.

Using key votes to measure the dependent variable in this study is the best way to examine my hypotheses because previous literature makes a persuasive argument that it is the best way to operationalize the question. Wang (1999) found that important votes could account for the discrepancies in the previous literature. Using important or key votes allows an accurate reflection of US influence. When it matters can the US use its foreign aid to change voting behavior? That is an important question, one that has not been fully addressed by previous research because they have looked at every resolution regardless of its value to the US. If the US does not value the vote or resolution then there are no expectations on recipient states to comply with the US. Only for the votes that the US puts value in does the question of aid as leverage come into play. When researchers use all UN resolutions they are asking if the US can influence voting compliance even when it does nothing to alter voting behavior. As Thacker (1999) and Keohane (1967) have shown the US does not put efforts into influencing voting behavior unless the vote is important to US interests. This means is that the only way to truly assess if the US can influence UN voting compliance is to look at those instances when the US actually puts forth the effort to alter the behavior. The US looks at these

important votes when it decides how much aid to allocate to a given country, not all votes. Since the US uses these votes to distribute aid, it seems logical that these are the instances that the relationship between US aid and voting compliance would emerge.

As previous literature has shown it is difficult to find the relationship between US foreign aid and voting compliance. One reason important votes have not been addressed is because there has not been a credible source of what the US, or any other country, deems important. Now that the US State Department has compiled reports outlining the important issues research can be done to examine if the US can alter voting behavior when it needs to. The reliability of this measure is strong because it is the policymakers that have labeled the votes they would use foreign aid to influence. Now the actual question can be addressed on whether or not the US can influence UN voting compliance with the use of its foreign aid.

The second method that has not been consistent throughout the research is how to characterize abstentions and absences. The State Department, Wittkopf (1973) and Rai (1980) consider compliance as an active behavior. What this means is that the recipient country has to vote with the US in order to be compliant. The Department of State ignores abstentions and absences in their calculations. If there are 16 votes in a year and a state misses five of them the government will make the total votes for that country 11, instead of the full 16. By lowering the amount of votes that are considered this method gives a much higher level of compliance. Wittkopf (1973) and Rai (1980) look at abstentions and absences differently. They treat them as non-compliant votes. The idea is that the US pays for compliance or agreement and not casting a vote does not actively show agreement.

The last way to calculating abstentions and absences is by Kegley and McGowan (1981) and Russett (1967). They consider the option of half compliance or midway between agreement and disagreement. They find that there is a difference between voting in opposition to the US and remaining neutral by abstaining. Supported by Zimmerman (1993) who finds that the US may pay states to not oppose them, this option allows the possibility that the active support is not always necessary. The US may want to give the illusion that there is a low level of opposition or the US may not be able to convince a state to support a resolution so the next best option is to not vote in opposition.

There are convincing arguments for treating abstentions and absences as non-compliance and as half compliance. This study will test both the traditional method of looking at abstentions and absences as non-compliance as well as the half compliance method.

While the debate about the measurement of the dependent variable is the most important there is another disagreement about how US aid is used to influence UN voting. Two studies found statistical support that show if aid is used as an inducement or as a reward/punishment. Wang (1999) finds that US aid as a reward/punishment is more effective in influencing voting compliance. His analysis shows that the US altered aid as a response to the levels compliance from the previous year. He also asserts that the recipient states are aware of this relationship and that it dictates their behavior. However, Rai (1980) finds that the US is able to use inducements, before the votes, to influence compliance levels.

While none of the articles provide conclusive evidence that the use of aid as an inducement or as a reward/punishment is more effective, Wang's (1999) results seem to

be more convincing. Reward/punishment activities after the votes will be more effective than inducement activities before the votes. Leaders don't look at what they already received and used, but at what else they can get or keep. There is more of an impact when the US State Department can threaten to take something away or offer more rather than relying on leaders to remember how much aid the US gave them 8 months before. Second, rewards/punishments work better because they leave the possibility of unexploited bargaining power (Wagner 1988). The US can always add to the deal. If there is another important vote than the US can add a little more to the amount of aid it gives a country in return for continued compliance. Rewards and punishments retain their leverage because the possibility of more aid or less aid is looming over the recipient country's decision to comply with the US. For these reasons my second hypothesis is that *US Foreign Aid will be more effective as a reward/punishment than as an inducement.*

There have been no previous studies that looked at the different types of aid the US gives. But there are two different types of aid the US gives: economic aid and military aid. Each serves a different purpose and therefore may produce different levels of compliance. Globalization is causing developing nations to fall further and further behind the developed states. The gap is widening and the need for assistance is trumping the need to develop a strong military. Some nations will be concerned with military aid, like the Middle East because of the unstable nature of the region, but those states are a small portion of the total US aid recipients. Most developing nations rely on foreign aid to off set the costs of running the country and providing services to the domestic community, making the influence of aid designated to make the gap between the haves

and have-nots less prominent more valuable to a country. Another contributing factor is that allocations of military aid may be used to serve other foreign policy goals, like regional stability. Since the efforts are not put towards influencing voting compliance the US will not receive it. These reasons lead me to adopt the third hypothesis that *US economic aid will have more influence on UN voting compliance than military aid.*

Since the UN was created there have been strong voting trends and patterns of alignment. While the US has had some success at influencing UN voting it is important to define the environment that the US is operating in. There will be regions that comply with the US the majority of the time and at the same time there will be regions that have always and will continue to have low compliance with US votes. It is important to understand which regions have strong patterns because they could have a positive or negative affect on the findings. The following articles will also lay down the foundation for my last hypothesis, which states *regional variations will produce different levels of compliance.*

As mentioned previously, Rai (1980) finds regional differences in his article that show Africa and the Middle East/South Asia with very low compliance with the US on in all variables. Suggesting that these regions will show low levels of compliance. But Latin America has a strong and positive relationship between the per capita and total aid as a percentage of total GDP aid variables. Which translates into Latin America showing more voting compliance with the US.

Kim and Russett (1996) trace the trends and patterns that have formed in the UN since the Cold War. They look at voting alignments to analyze voting preferences in the General Assembly across different regions. They examine UN voting records for the 46th

through the 48th session and find that the North South divide accounts for an overwhelming amount of variation in voting behavior across regions in the General Assembly. During the Cold War there was a strong East-West divide that separated communist countries from the democratic West. Until recently this divide dominated the voting alignments. However, this divide has been replaced with one that puts the Global South, represented by developing nations, against the Global North, comprised of the highly developed countries.

Kim and Russett (1996) also warn that economic ties with the US can result in two different types of voting behavior. The first is that US aid may create feelings of economic exploitation, while the second possible reaction is a positive influence on voting compliance with the US. Unfortunately, Kim and Russett do not tell the context that will result in each of the outcomes they simply give a general warning that using aid as a tool of influencing voting compliance could have adverse effects.

Joe Hagan (1989) finds that systemic variables like foreign aid have a strong influence on UN voting alignment in the Third World, which are contrary to the findings above. He originally hypothesizes that the regimes in Third World nations would explain the variance in UN voting, but his results support the opposite idea. He finds that over half of the UN voting variance has nothing to do with the regime type, but external factors like aid allocations. What this article shows is that US foreign aid is capable of influencing the Third World to comply in UN voting because it is the systemic variables apart from the regime type that dictate UN voting behavior. He also finds that there are no Third World alignments in the majority of his cases, which negates the idea that

regions or individual states do have trends or patterns. Hagan fails to find any tie that the Third World has that would signify some kind of voting alignment of the Global South.

Based on the literature I anticipate seeing regional variations for Africa, Asia, Middle East, Eurasia (Former USSR), Latin America, and Eastern Europe when compared to Western Europe.⁵ I expect to see Africa, the Middle East, and Asia with more negative and statistically significant relationships with US compliance than W. Europe. African and Asian countries receive moderate amounts of aid with the exception of a few strategically important states and one reason why these regions will not comply with the US is because there is no real incentive. The US does not allocate enough aid for these regions to become dependent, which means that the US has little leverage over their voting compliance. Another factor that produces low voting compliance is the voting alignments that already exist. Kim and Russett (1996) write that developing nations will band together and create voting alliances or groups that support their interests, not the most developed countries. Many developing nations are in Africa and Asia, which makes their interests and voting compliance much different than US interests. Since the US does not make a strong effort to influence voting compliance with aid Africa and Asia will not comply with the US on important UN votes.

The Middle East will not have any positive and significant relationships with US voting compliance, but for a different reason. The countries in this region have enough natural resources that they have more potential to support themselves than some of the other regions. Secondly, there are ideological differences that will contribute to the Middle East exhibiting lower levels of compliance than W. Europe. The last reason is

⁵ Listed in Appendix II

that the US does not allocate aid resources to compel voting compliance. Palmer, Wohlander, and Morgan (2002) argue that the US may not always use its resources to alter voting behavior. For the Middle East aid allocations are to facilitate regional stability, not alter UN voting compliance. The US will not see high levels of voting compliance from this region because their resources are not being used to reach that goal.

One region that will have an increased chance of a few statistically significant and positive relationships with US voting compliance when compared to W. Europe is Eurasia. The main reason Eurasia will have this mid-level compliance with the US is the Cold War. Kim and Russet (1996) explained how the East-West voting divide in the UN diminished to give way to other UN voting alignments. When this divide eroded so did the stark non-compliant voting behavior of the Former USSR allowing the US an opportunity to use its aid to influence voting compliance. Lai (2003) writes that after the Cold War the practice of using aid to influence behavior to comply with US interests became even more important. After the fall of the Soviet Union the US was able to use aid resources to influence the region to voting compliance. The additional attention and resources distributed to this region should erode the East-West divide. The Post Cold War relationship between the US and Eurasia will alter the voting compliance levels so that this region will be closer to the W. Europe levels of compliance.

The two regions that will be more likely to have positive and statistically significant relationships when analyzed against W. Europe are Latin America and Eastern Europe. Latin America will have high levels of voting compliance because aid is an effective influence to the countries in this region. America puts a lot of resources in the form of aid towards influencing voting behavior in this region. Palmer et al. (2002)

argues that compliance is heavily dependent on how many resources are used to alter voting behavior. The US allocates large amounts of aid to Latin America because it is strategically important. Hagan (1989) addresses the second reason why this region will have high compliance when he argues that non-regime variables, like foreign aid, are more important than any existing voting alignments. The ties that Latin America has with international or regional organizations are not strong enough to dissuade Latin American countries to comply with the US. For these two reasons I believe that there will be high levels of voting compliance in Latin America.

Eastern Europe will also have strong correlation with UN voting compliance because the US continues to contribute aid to this region regardless of their need, which is small. Even though the aid amounts are small they can still serve to strengthen ties between Europe and the US. Ruttan (1996) asserts that aid is given to strengthen the political commitments of the recipient country to the donor state. Aid contributes to the friendly relationship between E. Europe and the US and high compliance is a by-product of the two factors.

The literature on US foreign aid and UN voting compliance is still at the beginning stages. There are many debates when analyzing the ability of aid to affect UN voting about measurement, method and even findings. Studies began by looking at all donor states and have progressed to look just at the US, which has allowed more in depth analysis. The latest addition in the literature on methodology is the inclusion of important votes, which are argued to operationalize the question better than other measurements. There is another group of literature that has persuasively argued that the US can and has influenced UN voting compliance, and others argue that altering voting

behavior is the purpose of US foreign aid. Then there are other authors that argue that regional variations may affect how well US aid can influence voting compliance.

Even though the literature for this research question is young, it has contributed to the four hypotheses I will test. First, US foreign aid will have a statistically significant relationship with UN voting compliance on important votes. Second, economic aid will have more influence than military aid. Third, US foreign aid will be more effective in influencing voting compliance through rewards/ punishments instead of inducements. Lastly, regional variations in voting compliance will emerge. In the next section I will explain in detail how I will set up and analyze the six models that will test for the relationships expected in each hypothesis. I will also describe the independent variables I will use to examine each hypothesis and what methodology I will use to test for correlations.

Chapter 3 Data and Method

To test the four hypotheses in this thesis I will analyze the voting compliance on important votes for 149 US aid recipient countries⁶ in the United Nations General Assembly from 1984-2002.⁷ The dependent variable in this study is voting compliance with the US on UN Resolutions that the Department of State has labeled important votes. The important vote data comes from annual reports submitted to Congress on voting patterns in the UN.

The Greenbook data that I use in this study is a different source of data than some of the previous studies. Since I am looking at one donor country I am able to use the donor country's sources of aid amounts allocated. This data encompasses all of the aid allocation programs in place for each recipient country and will vary across region and state. Other data sources, like the OECD data take into consideration how the aid is used or consumed by the recipient state, if the aid is used to purchase goods from the donor country or if the aid is able to be used for goods from any country. My data makes no differentiation between different uses of aid, it is simply a total amount of aid allocated from the US to an individual recipient country.

Two measures of the dependent variable will be operationalized because there is a debate in the literature about how to treat abstentions and absences. By using two voting compliance measures I can compare the results between the two variables in order to see which variable is better for this topic. Another benefit is that I will be able to observe more relationships and trends than if I were using only one of the compliance variables.

⁶ List of countries in Appendix I

⁷ 65 countries will have the full 19years of analysis, the other countries will have less due to data constraints and admittance into the UN.

Both compliance measurements exclude important votes that were passed with a 90% unanimous vote or more.

To begin I will calculate modified compliance, the first compliance rate.

Modified compliance⁸ measures abstentions and absences as half compliance. For each year I will take every important vote and assign it a number that represents the status of compliance: 0 for non-compliance, 1 for half compliance (abstentions/absences), and 2 for compliance. Then I will add all of the modified compliance status numbers for each year. Next I will divide the year sum by double the amount of important votes for that year. This process will produce a modified compliance rate for one year between 0 and 1. The last step is to multiply the compliance rate by 100 in order to make a larger scale to work with.

Example Slovenia 2002 for Modified Compliance:

1. Modified Compliance status numbers: 2 2 2 0 1 1 0 2 2
2. 2002 yearly sum: 12
3. Number of important votes in 2002: 9
4. Divide yearly sum by double the number of important votes in 2002:
 $12/(9*2)=.667$
5. Modified Compliance rate for Slovenia in 2002: .667
6. Multiply by 100: 66.67

For the second compliance rate, labeled **traditional compliance**⁹, I will use the method employed by previous authors. This method treats abstentions and absences as non-compliance. To calculate this measure I will take the important votes for each year and assign a 0 for non-compliance, absences and abstentions and a 2 for compliance. I will follow the same procedure as above to produce a traditional compliance rate between

⁸ Kegley and McGowan (1981) and Russett (1967) measured abstentions and absences as half compliance

⁹ Wittkopf (1973) and Rai (1980) measured abstentions and absences as non-compliance.

0 and 1. The last step is to multiply the compliance rate by 100 in order to make a larger scale to work with.

Example Slovenia 2002 for Traditional Compliance:

1. Traditional compliance status number: 2 2 2 0 0 0 0 2 2
2. 2002 yearly sum: 10
3. Number of important votes in 2002: 9
4. Divide yearly sum by double the number of important votes in 2002:
 $10/(2*9)=.556$
5. Traditional Compliance rate for Slovenia in 2002: .556
6. Multiply by 100: 55.56

Data for the amount of aid allocated to each country comes from the US Department of State Greenbook. The aid data is split into **total US aid**, **total economic aid**, and **total military aid**. For the aid data I will control for wealth of a country, to take into account the fact that the same amount of aid will have different effects on smaller countries and bigger states. To do this each aid category will be divided by the country's GDP.¹⁰ This will produce three new variables labeled **total adjusted US aid**, **adjusted economic aid**, and **adjusted military aid**. This analysis will test both sets of aid variables because the weighted and unweighted aid measurements test different ideas. The unweighted variables analyze how beneficial the aid amounts look on paper or to the policymaker while the weighted variables examines if policymakers bargain for enough aid to contribute to the domestic economy.

For each of the six aid variables I will calculate a change in aid variable. By using **change in aid** variables the Wang (1999) and Rai (1980) findings have been able to examine the relationship between aid as an inducement or as a reward/punishment. Since

¹⁰ GDP data comes from the World Bank 2002 World Development Indicators.

these are the variables that provide support for their studies it is important to use them in any study that seeks to add to their work.

Four additional variables will be incorporated into this analysis. They have been used in previous studies as additional explanatory variables. The first is **US aid as a percentage of the total aid** a country receives. This measurement reflects how dependent a recipient country is on US aid for external assistance. If the US gives a high percentage of total aid then I expect to see more compliance, but if the US gives a small percentage than there will be less compliance. The second variable is the **percent of multilateral aid of the total amount of aid** a state receives. This measures how much of the recipient's aid comes from groups of donors, which take away the opportunity for the US to bargain for voting compliance. The more multilateral forms of aid the less dependent or reliant a state is on one individual country, which means an individual donor could not exert leverage over the recipient state. The third variable is the level of **democracy**. The scale for this variable spans from -10 as the opposite of a democracy to 10 being the most democratic state. The last variable will control for the effect of the Cold War. This is a dummy variable, labeled **Cold War**, which assigns a 1 for the years during the Cold War and a 0 for the years after. The data for the first two variables comes from the OECD and the data for the third variable comes from the polity2 measurement of democracy in the Polity IV Project from the University of Maryland

This thesis will test four hypotheses. The first is that US foreign aid has a positive and significant influence on UN voting compliance for important votes. To examine this hypothesis two OLS regression models will be constructed. The first regression model will use modified voting compliance with US as the dependent variable.

The second regression model will use traditional voting compliance as the dependent variable. In both models the independent variables will be total US aid, total adjusted US aid, change in total aid, and change in total adjusted aid. Four additional variables will be included in the analysis: percent of US aid in total aid, percent multilateral aid in total aid, level of democracy, and Cold War. By using these models I will be able to find and describe the relationship between two or more of the variables for both compliance measurements. I expect to find total adjusted US aid with the strongest statistically significant relationships.

The second hypothesis argues that economic aid will have more influence on UN voting compliance than military aid. I will construct two additional models for this hypothesis. The first model will include the modified compliance variable and the second model will use the traditional compliance variable. I will run standard OLS regression to analyze this hypothesis. Both models will include all eight of the economic and military aid variables: economic aid, military aid, adjusted economic aid, adjusted military aid, change in economic aid, change in military aid, change in adjusted economic aid, and change in adjusted military aid. Percent of US aid in total aid, percent multilateral aid in total aid, the level of democracy, and Cold War control will also be included in these models. I expect the results to show that economic aid variables will have stronger and more statistically significant results than military aid variables.

The third hypothesis tests if US aid works better as an inducement or a reward/punishment. To analyze this pattern I will create two more models that will lead of the aid variables one year and include the change in aid variables. I will lead the aid variables by putting all of the aid values ahead one year. This examines if aid was given

before the resolutions to induce the recipient state to vote in compliance with the US. I will use the change in aid variables to test the effects after the votes. Instead of using a lag, which simply puts the amount a recipient state receives, the change in aid variables measure if the aid was increased or decreased after the votes. This will allow me to test if high levels of compliance resulted in aid increases and low levels of compliance returned aid decreases or if high levels of compliance produced decreases in aid. I expect to see the change in aid variables with more statistically significant relationships, which will indicate that the US is more influential when it uses aid as a reward/punishment.

The last hypothesis looks at regional trends and patterns. Based on the Department of State categories I will divide the recipient countries into 6 different regions and create a dummy variable for each region. Next, I will analyze each region's voting compliance level by comparing them to the voting compliance level of Western Europe. This allows me to examine which region will be more likely to have higher or lower compliance levels than Western Europe. I will review the findings from the preceding models to examine the regional variations. I expect to see Latin America and Eastern Europe with the more positive and significant levels of voting compliance than W. Europe. Eurasia will have the middle level of compliance, while Africa, Asia and the Middle East will have no positive and significant relationship with UN voting compliance when compared to W. Europe.

Chapter 4 Findings

The first hypothesis, which tested for the relationship between US foreign aid and UN voting compliance, was supported by the findings. Total adjusted US aid had positive and significant results showing that US aid does influence UN voting compliance for both modified compliance (Table 1) and traditional compliance (Table 2). The results suggest that as the US gives more aid to a recipient country that state's level of compliance will raise. These findings imply that US aid is effective at influencing voting behavior when it actually contributes to the recipient country's economy. Total US aid does not alter voting compliance because it lacks leverage. The absence or presence of the unweighted total US aid measure does not change the domestic environment, but the total adjusted US aid can hurt or help the recipient country by taking away or giving more aid, giving it much more leverage. The recipient state will be much more dependent when US aid has a heavy impact on the domestic economy. Policymakers will be more willing to comply with the US when non-compliance can create a negative domestic response when the economy suffers because there is less US aid flowing in. Total adjusted US aid was expected to have more influence because aid can influence much more if it has a sufficient amount of leverage over the recipient state. Not only does the aid amount appeal to the policymakers, but the US can rely upon the domestic community to apply additional pressure to keep aid flows coming in, which gives the US more power over that states voting behavior. The unweighted measure cannot test the effect of US aid in a country and therefore is not able to observe the relationship between the state's dependence on US aid when it affects the economy and the leverage it gives the US in voting compliance.

Table 1. Total Aid Variables and Modified Compliance

Variable	b	se	t-ratio	Prob.
Total US Aid	.000	.000	.051	.959
Total Adjusted US Aid	.000146	.000	3.708	.000***
Change in Total US Aid	.014	.000	.969	.333
Change in Total Adjusted US Aid	-.000	.000	-1.577	.115
%US Aid of Total Recipient Aid	-.044	.002	-.246	.806
%Multi. Aid of Total Recipient Aid	.031	.002	.179	.858
Democracy	.240	.001	2.351	.019**
Cold War	-7.181	.012	-.6070	.000***
Middle East	-7.151	.038	-1.872	.062**
Africa	-9.689	.032	-3.019	.003***
Latin America	2.875	.031	.924	.356
Asia	-10.877	.033	-3.305	.001***
Eurasia	-1.529	.045	-.377	.736
Eastern Europe	29.779	.086	3.477	.001***

N= 1186

R Squared= .171

Adj. R Sq.= .159

*** prob. < 0.01, one-tailed test

** prob. < 0.05, one-tailed test

* prob. < 0.10, one-tailed test

Table 2. Total Aid Variables and Traditional Compliance

Variable	b	se	t-ratio	Prob.
Total Aid	.002	.000	.962	.336
Total Adjusted Aid	.000122	.000	2.845	.005***
Change in Total Aid	-.005	.000	-.345	.730
Change in Total Adjusted Aid	-.000	.000	-.209	.834
%US of Total	.104	.002	.530	.596
%Multilateral of Total	-.211	.002	-1.112	.267
Democracy	.409	.001	3.662	.000***
Cold War	10.871	.013	8.405	.000***
Middle East	-9.963	.042	-2.385	.017**
Africa	-11.360	.035	-3.238	.001***
Latin America	5.066	.034	1.490	.137
Asia	-12.607	.036	-3.504	.000***
Eurasia	-8.663	.050	-1.748	.081*
Eastern Europe	30.495	.094	3.258	.001***

N= 1186

R Squared= .243

Adj. R Sq.= .234

*** prob. < 0.01, one-tailed test

** prob. < 0.05, one-tailed test

* prob. < 0.10, one-tailed test

Democracy had a significant effect in modified compliance (Table 1) and traditional compliance (Table 2) models. The democracy findings indicate that the level of democracy will predict the level of voting compliance. Mousseau (2000) argues that democracies have similar interests because of their “political interests derive from their socioeconomic norms.” (Mousseau 2000, 472) This implies that the high correlation with democracies and voting compliance is based off of shared interests, not because of any aid variables.

The Cold War measure has conflicting findings for modified compliance and traditional compliance. The Cold War variable was significant and negative suggesting that during the Cold War we are likely to find less modified voting compliance or less half compliance. (Table 1) The Cold War variable has the expected effect on modified compliance because it shows that the Cold War had a negative correlation with voting compliance. However, the Cold War variable had a significant and positive correlation with traditional compliance, which implies that the Cold War increased voting compliance when only active compliance was measured. (Table 2) The fact that the Cold War variable had both a positive and negative effect on compliance could be attributed to the different measurements of compliance.

The last variables in these models were the % of US aid of total aid received and % multilateral aid of total aid received. The % US aid of total aid received had a negative direction when correlated with compliance. This means that the proportion of aid that comes from the US is unimportant in voting compliance, what matters is how much the US gives independent of other sources of aid. The % multilateral aid of total

aid received with a negative direction when correlated with traditional compliance. This model shows multilateral aid in the anticipated direction indicating a negative relationship with compliance.

The regional control variables will be discussed later. However, these results provide support to the hypothesis that US foreign aid does influence UN voting compliance. These findings do not explain the entire amount of variation in voting compliance they can account for almost 25%. The models show that total adjusted US aid and democracy can explain and predict the levels of compliance a recipient state will have.

The second hypothesis produced vastly different results from model to model making it difficult to determine if economic aid has more influence than military aid on compliance. Table 3 shows that economic aid has a significant and negative effect on modified compliance, which were unexpected. Suggesting that the more economic aid allocated the less voting compliance the US will see. One explanation for these results is the large amounts of economic aid the US allocates to Middle Eastern, African, and Asian countries and their low voting compliance levels. Since these regions do not comply with the US but continue to receive aid it appears as if the US pays for non-compliance.

The findings for adjusted economic aid are positive and significant, the opposite of economic aid. (Table 3) These findings are not contradictory, in fact they paint a clear picture that economic aid is effective in influencing voting compliance, but only when the aid actually contributes to society. When the US gives small allocations of economic aid it has a negative effect because the recipient state does not see the aid helping the

Table 3. Change in Aid Variables and Modified Compliance

Variable	b	se	t-ratio	Prob.
Economic Aid	-.037	.000	-3.117	.002***
Military Aid	.020	.000	2.265	.024**
Adjusted Economic Aid	.000161	.000	2.884	.004***
Adjusted Military Aid	.000487	.000	2.881	.004***
Changes In Economic Aid	.028	.000	1.652	.099*
Changes In Military Aid	.056	.000	1.595	.111
Changes in Adjusted Economic Aid	-.000145	.000	-1.774	.076**
Changes in Adjusted Military Aid	-.000	.000	-1.492	.136
%US of Total Aid	-.023	.002	-.128	.898
%Multilateral of Total Aid	.029	.002	.170	.865
Democracy	.314	.001	3.010	.003***
Cold War	-6.897	.012	-5.686	.000***
Middle East	-6.232	.038	-1.621	.105
Africa	-8.201	.032	-2.532	.011**
Latin America	4.518	.032	1.433	.152
Asia	-7.613	.034	-2.222	.026**
Eurasia	.805	.046	.176	.861
Eastern Europe	30.898	.086	3.61	.000***

N= 1166

R Squared= .187

Adj. R Sq.= .175

*** prob. < 0.01, one-tailed test

** prob. < 0.05, one-tailed test

* prob. < 0.10, one-tailed test

Table 4. Change in Variables and Traditional Compliance

Variable	b	se	t-ratio	Prob.
Economic Aid	-.004	.000	-.307	.759
Military Aid	-.000	.000	-.094	.925
Adjusted Economic Aid	.000	.000	.968	.333
Adjusted Military Aid	.000498	.000	2.682	.007***
Changes In Economic Aid	-.013	.000	-.704	.482
Changes In Military Aid	.061	.000	1.596	.111
Changes in Adjusted Economic Aid	.000	.000	.360	.719
Changes in Adjusted Military Aid	-.000728	.000	-2.304	.021**
%US of Total Aid	.116	.002	.581	.561
%Multilateral of Total Aid	-.208	.002	-1.089	.277
Democracy	.446	.001	3.903	.000***
Cold War	10.467	.013	7.869	.000***
Middle East	-10.063	.042	-2.387	.017**
Africa	-10.483	.036	-2.952	.003***
Latin America	5.343	.035	1.546	.122
Asia	-11.942	.038	-3.178	.002***
Eurasia	-7.868	.050	-1.566	.118
Eastern Europe	30.763	.094	3.278	.001***

N= 1166

R Squared= .246

Adj. R Sq.= .234

*** prob. < 0.01, one-tailed test

** prob. < 0.05, one-tailed test

* prob. < 0.10, one-tailed test

domestic environment. Once the economic aid has saturated the domestic economy the recipient state is much more likely to comply in UN votes. The adjusted economic aid findings support my hypothesis that US economic aid is more effective in influencing voting compliance.

Both military aid and adjusted military aid had significant findings in this analysis. In Table 3 we see that military aid has a strong, positive, and significant influence on modified compliance, which indicates that military aid also has influence over modified compliance. This relationship is strong and shows that even when the military aid does not affect the recipient state's economy it is influential.

The findings for adjusted military aid showed that it had a positive and significant effect on both modified compliance (Table 3) and traditional compliance (Table 4). These findings imply that the more military aid saturates the recipient's economy the more compliant it will be. While this aid variable was expected to be less influential it is logical that adjusted military aid had high levels of influence on voting compliance. The US is much more discriminating when it allocates military aid so the US may be able to ask for more in return, like assured voting compliance. With military aid the US has power over the recipient's military capabilities because the US can help make it stronger or allow the recipient's military might to remain the same. The US can easily turn this power into influence over modified and traditional voting compliance.

The results to hypothesis 2 are more than what was expected because the analysis found that both economic and military aid can effectively yield influence over voting compliance, one is not more effective than the other. The two aid variables that had the

Table 5. Lead Aid Variables and Modified Compliance

Variable	b	se	t-ratio	Prob.
Lead Economic Aid	-.031	.012	-2.594	.010***
Lead Military Aid	.014	.009	1.601	.110
Lead Adjusted Economic Aid	.000148	.000	2.607	.009***
Lead Adjusted Military Aid	.000539	.000	2.857	.004***
Changes In Economic Aid	.012	.017	.714	.475
Changes In Military Aid	.035	.036	.979	.328
Changes in Adjusted Economic Aid	-.000	.000	-.924	.356
Changes in Adjusted Military Aid	-.000	.000	-.954	.341
%US of Total Aid	.094	.195	.480	.631
%Multilateral of Total Aid	.016	.177	.088	.930
Democracy	.270	.107	2.522	.012***
Cold War	-7.945	1.245	-6.380	.000***
Middle East	-5.684	4.042	-1.406	.160
Africa	-7.946	3.400	-2.337	.020**
Latin America	4.802	3.309	1.451	.147
Asia	-7.198	3.586	-2.007	.045**
Eurasia	-.352	4.946	-.071	.943
Eastern Europe	26.928	9.617	2.800	.005***

N= 1095

R Squared= .179

Adj. R Sq.= .165

*** prob. < 0.01, one-tailed test

** prob. < 0.05, one-tailed test

* prob. < 0.10, one-tailed test

Table 6. Lead Variables and Traditional Compliance

Variable	b	se	t-ratio	Prob.
Lead Economic Aid	-.003	.013	-.230	.818
Lead Military Aid	-.002	.010	-.199	.842
Lead Adjusted Economic Aid	.000104	.000	1.693	.091*
Lead Adjusted Military Aid	.000458	.000	2.242	.025**
Changes In Economic Aid	-.024	.019	-1.270	.204
Changes In Military Aid	.043	.039	1.120	.263
Changes in Adjusted Economic Aid	.000	.000	1.094	.274
Changes in Adjusted Military Aid	-.000632	.000	-2.095	.036**
%US of Total Aid	.217	.211	1.027	.304
%Multilateral of Total Aid	-.217	.191	-1.136	.256
Democracy	.400	.116	3.455	.001***
Cold War	9.126	1.347	6.774	.000***
Middle East	-8.248	4.373	-1.886	.060*
Africa	-9.367	3.678	-2.546	.011**
Latin America	5.978	3.580	1.670	.095*
Asia	-10.221	3.879	-2.635	.009***
Eurasia	-7.563	5.351	-1.413	.158
Eastern Europe	24.677	10.403	2.372	.018**

N= 1095

R Squared= .222

Adj. R Sq.= .209

*** prob. < 0.01, one-tailed test

** prob. < 0.05, one-tailed test

* prob. < 0.10, one-tailed test

most consistent results are adjusted economic aid because its significance and influence remained consistent over the two models of modified compliance and adjusted military aid kept its strong and significant influence over both modified and traditional compliance.

The third hypothesis supports the effective use of aid as an inducement and aid as a reward/punishment. This analysis tells which aid variables can and cannot be used in inducement or reward/punishment activities. The first is lead economic aid, which has a strong, significant and negative relationship with modified compliance. (Table 5) This finding suggests that when economic aid is used to coerce future voting compliance or even half compliance it will have the opposite effect. This is the only lead unweighted aid variable to have a significant effect on compliance and it implies that unweighted aid used to induce voting behavior will have an adverse effect on UN voting compliance.

The next two aid variables both had significant and positive correlations with modified compliance at the .01 level. (Table 5) Adjusted lead economic aid and adjusted lead military aid are effective in inducing voting compliance when they are allocated before the vote. These findings suggest that inducements do work and are successful in getting voting compliance. These results are not what I expected and do not support my hypothesis. However, inducements may be effective because the policymakers have the aid in their possession and do not have to wait. Also, inducements make it easier for the leaders of in the recipient countries to justify their voting behavior to their governments and citizens because they see and are enjoying the benefits now.

Table 3 shows that my hypothesis was not completely wrong. Change in economic aid is positive and significant, but not strong. This finding implies that when economic aid is used as a reward or punishment it will influence the amount of modified compliance a recipient state has. These results support my hypothesis and meet expectations that adjustments in aid after the votes would be effective in altering voting compliance.

There were more variables whose findings were unexpected because they show rewards and punishments with a negative correlation with compliance. Change in adjusted economy was significant, but has a negative correlation with modified voting compliance. (Table 3) These results suggest that using adjusted economic aid as a reward or punishment has an adverse effect on compliance levels. Change in adjusted military aid had a significant and negative effect on traditional voting compliance. (Table 6) These results also show that when adjusted military aid is altered in response to previous voting behavior it will have a negative effect on the amount of compliance the recipient state will have.

This analysis provided three important conclusions, first that inducements can be effective when the aid will contribute to the recipient's economy. When the US can provide the benefits in the present then decision makers are much more likely to comply with the US in UN voting. Second, that the unweighted economic aid variables have much different relationships with voting compliance than the other aid variables. It is the only significant lead aid variable that has a negative effect and the only significant change in aid variable that has a positive effect on voting compliance. The third conclusion from these results is that there is a negative effect when the US alters aid and

it affects the recipient's economy. Both significant adjusted aid variables had negative relationships with UN voting compliance. Kim and Russett (1996) suggest that there is a potential for the recipient state to develop sentiments of economic exploitation and respond negatively when aid is used as leverage. Economic exploitation is apparently only felt when aid is used as reward or punishment not when aid is given beforehand as an inducement.

The last hypothesis tested to find the regional variations in UN voting compliance. Most of the results support the anticipated compliance levels, with the exception of Eurasia. The Middle East, Africa and Asia have no compliance with the US, in fact they all have negative correlations with both modified and traditional compliance when compared to Western Europe. The Middle East has many strong, significant and negative findings that tell us that these countries do not comply with the US.

Africa exhibits negative, correlations with voting compliance, the opposite of Western Europe's voting compliance variation, as expected. These results provide overwhelming support that Africa has a negative relationship with both half compliance and active compliance. The negative compliance can be attributed to the abundance of developing nations in this region, unlike Western Europe that consists of highly industrialized states, Africa is the core of the Global South, making their interests almost of the opposite of the US.

The results for Asia are very strong, negative and highly significant, more than half of the findings are significant at the .01 level. These findings show that this region is the most likely to have a negative compliance level when measured against Western

Europe. Many Asian countries are developing and may already have ties with UN voting alignments that have more influence than US aid, like the Global South.

The next region was expected to have positive and significant relationships with US voting compliance when weigh against Western Europe, but Eurasia's findings had significant and negative influence on traditional compliance. (Table 2) There are two explanations for this negative correlation with voting compliance. The first is that there could be remnants of the Cold War mentality where the USSR did not support or comply with US interests. The East West division may have faded at the end of the Cold War, but the Former Soviet states have developed ties amongst themselves that may continue some of the same trends of non-compliant voting behavior from the past. Second, the US does not give these regions enough aid to get leverage over the recipient states and influence voting compliance. These two factors cause Eurasia's voting compliance variation to be negative when examined against Western Europe.

Latin America is the first of two regions that were expected to have higher levels of compliance with the US than Western Europe. Latin America had one positive and significant finding, which supports my hypothesis and shows that countries in this region have a strong and positive relationship with traditional compliance. (Table 6) However, it is surprising that there are not more significant findings because Latin America receives the second highest amount of total aid and has many non-aid ties to the US.

The findings indicate that Eastern Europe is much more likely to have higher compliance levels than Western Europe shown by the positive and highly significant findings in every model for both modified compliance and traditional compliance. Nearly all of the findings are significant at the .01 level and have large b scores which

detail how strong and significant Eastern Europe's correlation with voting compliance. These results support the hypothesis for this region and show that Eastern Europe has a positive correlation with all voting compliance measures.

The results for the regional analysis meet almost all expectations. The results show that Eurasia still has a more likely negative relationship with voting compliance than W. Europe despite the end of the Cold War and aid allocations by the US. The regional study tried to account for factors other than aid that contribute to voting compliance like how developed the country is, existing voting alignments, past voting behavior effecting current voting compliance, and the purpose of the aid other than voting compliance.

Chapter 5 Conclusion

The process of allocating foreign aid in the US is complicated because there are many factors that play a role in who gets aid and why. Previous authors have found that the US does use aid to influence state's behavior, but there is still much debate over whether or not that influence extends to UN voting. However, this thesis has shown that one factor in this process is UN voting compliance. My analysis has found statistically significant support that US foreign aid does influence both measures of UN voting compliance. When the total amount of US aid is enough to affect the recipient state's economy the US can effectively alter UN voting behavior. This study covered a longer amount of time and included over two times the amount of countries in Wang's (1999) study, which had the largest amount of recipient states in the literature. Also this thesis used US Department of State Greenbook data for the aid allocations, which allowed me to test the effects of each form of aid more in-depth. This study was much more comprehensive than other studies and has found strong support for each of the hypothesis tested.

One contribution my work has made is to operationalize two compliance measures that include only important votes to the US. Using two measures of voting compliance allowed me to examine if the US used aid for half compliance and active compliance. Modified compliance measured if the US used aid to avoid oppositional votes and garner half compliance. This measure has not been the dominant choice in recent literature, but my study showed that there is a place in this subject for half compliance because it appears to be effected by US foreign aid. Traditional compliance

was able to gauge if active compliance was the main concern of the US. This measurement is important in these analyses and is capable of observing different relationships than modified compliance. It is difficult to make a conclusion about which measure is better to analyze this question because they both yielded important findings and occasionally opposite results. The most that can be concluded is that both measures should be considered in these studies. Future researchers could study the use of half compliance and active compliance more to find the merits and faults of both.

Important votes are a somewhat new way to measure voting compliance because nearly all of the other researchers conducted multiple donor studies, which did not allow the author to use votes that are important to each individual donor. Finding and studying important votes to the US has allowed me to fully examine if the US can influence voting behavior when it wanted or needed voting compliance. My thesis has added to the literature by operationalizing important votes with different measures of compliance and showing how effective it is in observing the relationship between US aid and UN voting.

Some of the non-aid variables did have strong relationships with voting compliance. Democracies had a significant and positive relationship with voting compliance in every model. This study found that democratic countries are much more compliant with the US and the less democratic a state is the less compliant they will be. This is expected because democracies share many similar interests, which would make their voting in the UN parallel to the US.

The Cold War had a much more complicated relationship with compliance. The Cold War was negatively related to modified compliance, but a positive relationship with traditional compliance in every model. These findings suggest that the Cold War

produced active compliance, not half compliance. The lines were so divided in the UN that states were either on one side or the other, which made active compliance levels higher because the US had many more allies in the UN than the USSR.

This thesis also tested to find the effects that economic aid and military aid had on voting compliance. This is the first US aid and UN voting study to analyze the different forms of aid. Most studies have used the OECD data to conduct these analyses, but that data source does not break the aid into different forms for each donor state. The two aid types may have different effects on voting compliance. I found that they both play a role in altering voting behavior for modified compliance, but only adjusted military aid influenced traditional compliance. These results show that both types of aid can influence half compliance, but it takes a specific kind of aid to get full active compliance. Military aid is allocated more cautiously than economic aid and it may come with more demands for the recipient. But even with the added leverage with military aid both play an important role in influencing UN voting compliance and therefore are both important to study. However, future researchers could look at the specific effects that each type has in each region and during peacetime vs wartime.

In the literature there has been another debate that asks if US aid is used as an inducement or a reward/punishment. Few studies have found support for one side or the other and the studies that have found results are inconsistent. My study was able to find how each aid variable affected voting compliance, which provided an array of different results. The general trend is that rewards and punishments will generally have adverse effects on the level of compliance, while inducements are much more effective in coercing voting compliance. I found that when rewards/punishments are used and it

affects the recipient state's economy it will have negative influence on voting compliance, but inducements have positive relationship on voting compliance and are able to alter behavior. The most interesting finding is that unweighted economic aid had the most unexpected effect on both measurements of compliance. It had the opposite results of the trend, meaning that it had a negative effect on compliance when it was used as an inducement and a positive influence on compliance when it was used in reward/punishment activities. Another contribution this study made was to break down the effects of the different forms of aid as inducements and rewards/punishments because we can see that they have vastly different effects. These results show that this particular question is a difficult one to address and that future research should be done to examine the relationship further.

The regional analysis that I conducted supported the regional variations that previous authors have found. Although, Eurasia has not had much analysis done on it after the fall of the Soviet Union. The compliance levels are not much different than that of the Former USSR shown by Eurasia's significant and negative correlation with voting compliance. Africa, Asia and the Middle East had negative correlations with voting compliance, which means that these regions vote the opposite of the US in important UN resolutions. These three regions were expected to have no compliance with the US because there are other influences contending with the US for leverage in these regions despite the fact that they are in the top four regions in total US aid allocations. Latin America and Eastern Europe both had positive and significant relationships with voting compliance. There are different reasons for this. First Latin America receives the second highest amount of aid allocations and it has been effective in influencing voting

compliance. Eastern Europe has many democracies, which have a high correlation with voting compliance so this region most likely has similar interests to the US. Regional studies should be conducted often because changes in the international environment can create new voting alignments and possibly new cleavages based on criteria other than borders or development levels.

In the future the UN will continue to be an important forum for the international community. Its importance to the US will increase so questioning how and if the US uses its economic resources to direct the decisions of the UN will continue to be vital.

References

- Akram, Tanweer, (2003) "The International Foreign Aid Regime: Who Gets Foreign Aid and How Much?" *Applied Economics*, 35, pp1351-1356.
- Albert, Eugene J. & Samuel J. Bernstein, (1974) "International Bargaining and Political Coalitions: U.S. Foreign Aid And China's Admission To The U.N.," *The Western Political Quarterly*, 27:2, pp314-327.
- Black, Lloyd, (1968) "The Strategy of Foreign Aid," D. Van Nostrand Company Inc. New York.
- Dudley, Leonard & Claude Montmarquette, (1976) "A Model of the Supply of Bilateral Foreign Aid," *The American Economic Review*, 66:1, pp132-142.
- Hagan, Joe D., (1989) "Domestic Political Regime Changes in Third World Voting Realignments and the United Nations, 1946 –84," *International Organization*, 43:3, pp 505-541.
- Kegley, Charles W. Jr. and Steven W. Hook, (1991) "U.S. Foreign Aid and UN Voting: Did Reagan's Linkage Strategy Buy Deference or Defiance?" *International Studies Quarterly*, 35, pp295-312.
- Kegley, Charles W. Jr. and Pat McGowan, (1981) "The Political Economy of Foreign Policy Behavior," California, Sage Publications
- Keohane, Robert O., (1967) "The Study of Political Influence in the General Assembly," *International Organization*, 21:2, pp221-237.
- Kim, Soo Yeon & Bruce Russett, (1996) "The New Politics of Voting Alignments and United Nations General Assembly," *International Organization*, 50:4, pp 629-652.
- Lai, Brian, (2003) "Examining the Goals of US Foreign Assistance in Post-Cold War Period, 1991-96," *Journal of Peace Research*, 40:1, pp103-128.
- Marin-Bosch, Miguel, (1987) "How Nations Vote in the General Assembly of the United Nations," *International Organization*, 41:4, pp 705-724.
- Moon, Bruce, (1983) "The Foreign Policy of the Dependent State," *International Studies Quarterly*, 27, pp 315-340.
- Moon, Bruce E., (1985) "Consensus or Compliance? Foreign Policy change and external dependence," *International Organization*, 39:2, pp297-315.
- Mousseau, Michael, (2000) "Market Prosperity, Democratic Consolidation, and Democratic Peace," *Journal of Conflict Resolution*, 44:4, pp 472-507.

Palmer, Glenn; Scott Wohlander & T. Clifton Morgan., (2002) "Give or Take: Foreign Aid and Foreign Policy Substitutability," *Journal of Peace Research*, 39, pp5-26.

Rai, Kul B., (1980) "Foreign Aid in the U.N. General Assembly, 1967 – 1976," *Journal of Peace Research*, 3:XVII, pp 269-277.

Russett, Bruce M., (1967) "International Regions and the International System," Rand McNally & Company, Chicago.

Ruttan, Vernon W., (1996) "United States Development Assistance Policy: The Domestic Politics of Foreign Economic Aid," The John's Hopkins University Press, Baltimore.

Thacker, Strom ,(1999) "The High Politics of IMF Spending," *World Politics*, 52:1, pp 38-75.

Wagner, Harrison R., (1988) "Economic Interdependence, Bargaining Power, and Political Influence," *International Organization*, 42: 3, pp 461- 483.

Wang, T.Y., (1999) U.S. Foreign Aid and UN Voting: An Analysis of Important Issues. *International Studies Quarterly*, 43, pp199-210.

Wittkopf, Eugene, (1973) " Foreign Aid and United Nations Votes: A Comparative Study," *The American Political Science Review*, 67:3, pp868-888.

Vengroff, Richard, (1976) "Instability and Foreign Policy Behavior: Black Africa in the U.N.," *American Journal of Political Science*, XX: 3, pp425-438.

Zimmerman, Robert, (1993) "Dollars, Diplomacy and Dependency -- Dilemmas of U.S. Economic Aid," Lynne Reinner Publishers, Inc., Colorado.

Appendix A Countries in the Study

Afghanistan	Korea	Lesotho
Albania	Djibouti	Liberia
Algeria	Dominican Rep	Lithuania
Angola	Ecuador	Madagascar
Argentina	Egypt	Malawi
Armenia	El Salvador	Malaysia
Austria	Eritrea	Maldives
Azerbaijan	Estonia	Mali
Bahamas	Ethiopia	Malta
Bahrain	Fiji	Mauritania
Bangladesh	Gabon	Mauritius
Barbados	Gambia	Mexico
Belarus	Georgia	Micronesia
Belize	Germany	Mongolia
Benin	Ghana	Morocco
Bhutan	Greece	Mozambique
Bolivia	Grenada	Myanmar
Bosnia	Guatemala	Namibia
Botswana	Guinea	Nepal
Brazil	Guinea Bissau	Nicaragua
Bulgaria	Guyana	Niger
Burkina	Haiti	Nigeria
Burundi	Honduras	Oman
Cambodia	Hungary	Pakistan
Cameroon	India	Panama
Cape Verde	Indonesia	Papua New Guinea
Africa	Iraq	Paraguay
Chad	Ireland	Peru
Chile	Italy	Philippines
China	Jamaica	Poland
Colombia	Jordan	Portugal
Comoros	Kazakhstan	Korea
Congo	Kenya	Moldova
Costa Rica	Kiribati	Romania
Cote	Kyrgyzstan	Russia
Croatia	Laos	Rwanda
Cyprus	Latvia	Samoa
Czech	Lebanon	Senegal

Seychelles
Sierra Leone
Singapore
Slovakia
Slovenia
Solomon Is
Somalia
South Africa
Spain
Sri Lanka
Sudan
Surinam

Swaziland
Syrian Rep
Tajikistan
Thailand
Macedonia
Timor
Togo
Tonga
Trinidad
Tunisia
Turkey
Turkmenistan

Uganda
Ukraine
Tanzania
Uruguayan
Uzbekistan
Vanuatu
Venezuela
Vietnam
Yemen
Zambia
Zimbabwe

Appendix B Countries with Regional Codes

1-Middle East; 2-Africa; 3-Latin America; 4-Aisa; 5-Oceania; 6-Eurasia; 7-E. Europe;
8-W. Europe

Afghanistan	1	Malawi	2	Haiti	3
Algeria	1	Mali	2	Honduras	3
Bahrain	1	Mauritania	2	Jamaica	3
Egypt	1	Mauritius	2	Mexico	3
Iraq	1	Mozambique	2	Nicaragua	3
Jordan	1	Namibia	2	Panama	3
Lebanon	1	Niger	2	Paraguay	3
Morocco	1	Nigeria	2	Peru	3
Oman	1	Rwanda	2	Surinam	3
Syrian Rep	1	Senegal	2	Trinidad	3
Tunisia	1	Seychelles	2	Uruguayan	3
Yemen	1	Sierra Leone	2	Venezuela	3
Africa	2	Somalia	2	Bangladesh	4
Angola	2	South Africa	2	Bhutan	4
Benin	2	Sudan	2	Cambodia	4
Botswana	2	Swaziland	2	China	4
Burkina	2	Tanzania	2	India	4
Burundi	2	Togo	2	Indonesia	4
Cameroon	2	Uganda	2	Korea	4
Cape Verde	2	Zambia	2	Korea	4
Chad	2	Zimbabwe	2	Laos	4
Comoros	2	Argentina	3	Malaysia	4
Congo	2	Bahamas	3	Maldives	4
Cote	2	Barbados	3	Mongolia	4
Djibouti	2	Belize	3	Myanmar	4
Eritrea	2	Bolivia	3	Nepal	4
Ethiopia	2	Brazil	3	Pakistan	4
G Bissau	2	Chile	3	Philippines	4
Gabon	2	Colombia	3	Singapore	4
Gambia	2	Costa Rica	3	Sri Lanka	4
Ghana	2	Dominican Rep	3	Thailand	4
Guinea	2	Ecuador	3	Timor	4
Kenya	2	El Salvador	3	Vietnam	4
Lesotho	2	Grenada	3	Fiji	5
Liberia	2	Guatemala	3	Kiribati	5
Madagascar	2	Guyana	3	Micronesia	5

Papua New Guinea	5	Turkmenistan	6	Romania	7
Samoa	5	Ukraine	6	Slovakia	7
Solomon Is	5	Uzbekistan	6	Slovenia	7
Tonga	5	Albania	7	Austria	8
Vanuatu	5	Bosnia	7	Cyprus	8
Armenia	6	Bulgaria	7	Germany	8
Azerbaijan	6	Croatia	7	Greece	8
Belarus	6	Czech	7	Ireland	8
Georgia	6	Estonia	7	Italy	8
Kazakhstan	6	Hungary	7	Malta	8
Kyrgyzstan	6	Latvia	7	Portugal	8
Moldova	6	Lithuania	7	Spain	8
Russia	6	Macedonia	7	Turkey	8
Tajikistan	6	Poland	7		

Vita

Leonna Griffin was born on an Air Force Base in Okinawa, Japan. Her parents were in the military and moved from one country to another every three years. By the time she was in eighth grade she her parents had retired in Bellevue, Nebraska. She attended Junior High and High School in Bellevue. She received a four-year academic scholarship to attend the University of Nebraska-Lincoln. Her major was Russian language and literature and from September 2000 until May 2001 she lived and studied in Moscow, Russia. In the summer of 2002 Leonna graduated from the University of Nebraska and received her bachelor's of art. The following fall she enrolled as a non-matriculating graduate student in the Department of Political Science at Louisiana State University A&M. Spring semester 2003 Leonna was admitted into the Department of Political Science and received a full tuition waiver. February 2004 Leonna was married to a Junior High English teacher who is also a Nebraska native. After her wedding she began her thesis and intends to graduate with an masters of art in political science from Louisiana State University A&M the Summer of 2004.