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Marc R. Zucker
Louisiana State University

Michael G. Harvey
Louisiana State University

Jessica A. Oswald
Louisiana State University

Andrés Cuervo
Tulane University

Elizabeth Derryberry
Tulane University

See next page for additional authors

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Authors

Marc R. Zucker, Michael G. Harvey, Jessica A. Oswald, Andrés Cuervo, Elizabeth Derryberry, and Robb T. Brumfield

The Mouse-colored Tyrannulet (*Phaeomyias murina*) is a species complex that includes the Cocos Flycatcher (*Nesotriccus ridgwayi*), an island form that underwent a population bottleneck

Marc R. Zucker¹, Michael G. Harvey^{1,2}, Jessica A. Oswald², Andrés Cuervo^{1,2}, Elizabeth Derryberry³, and Robb T. Brumfield^{1,2}

¹ Department of Biological Sciences, Louisiana State University, Baton Rouge, LA, USA

² Museum of Natural Science, Louisiana State University, Baton Rouge, LA, USA

³ Department of Ecology and Evolutionary Biology, Tulane University, New Orleans, LA, USA

Abstract

Simultaneous examination of evolutionary history in island forms and closely related mainland relatives can provide reciprocal insight into the evolution of island and mainland faunas. The Cocos Flycatcher (*Nesotriccus ridgwayi*) is a small tyrant flycatcher (Tyrannidae) endemic to Cocos Island, an oceanic island in the eastern Pacific Ocean. We first established its close relationship to the mainland species Mouse-colored Tyrannulet (*Phaeomyias murina*) using a phylogeny from genome-wide ultraconserved elements and exons. We then used mitochondrial DNA to explore the relationships between *Nesotriccus* and *Phaeomyias* populations from across its distribution in Central and South America. We found that *Nesotriccus* is nested within the *Phaeomyias* evolutionary tree, and that *Phaeomyias* represents a complex of at least four evolutionarily distinct species that differ in plumage, voice, and habitat association. *Nesotriccus* underwent a population bottleneck subsequent to its divergence from Central American and northern South American *Phaeomyias* populations in the middle Pleistocene. The 46 UCE loci containing alleles that are fixed between the two species are widely distributed across the genome, which suggests that selective or neutral processes responsible for divergence have occurred genome-wide. Overall, our simultaneous examination of *Phaeomyias* and *Nesotriccus* revealed divergent levels of genetic diversity and evolutionary histories between island and mainland forms.

Keywords: phylogeny, Cocos Island, phylogeography, ultraconserved elements, exons, coalescent methods

1 **1. Introduction**

2

3 Evolutionary biologists have long recognized the utility of islands for studying the evolution
4 of organisms (Wallace, 1880). Due to the discrete geographical nature of islands, populations on
5 islands are isolated from high levels of gene flow typical on continents, providing unique
6 opportunities to study adaptation and speciation (Grant and Grant, 1996; Losos and Ricklefs,
7 2009). Island species have been used to examine modes of speciation (Barrett, 1996; Cameron et
8 al., 1996; Gittenberger, 1991; McDonald and Smith, 1990; Stuessy et al., 1990), adaptive
9 radiations (Carlquist, 1995, 1974; Grant and Grant, 1994; Grant, 1984; Tarr and Fleischer, 1995;
10 Vincek et al., 1997), and taxon cycles (Greenslade, 1968; Klein and Brown, 1994; Ricklefs and
11 Cox, 1978, 1972; Roughgarden and Pacala, 1989; Wilson, 1961, 1959). Despite extensive study,
12 our knowledge of the genetic diversities and evolutionary histories of many island species is
13 limited (Barrett, 1996; Franks, 2010). Population genetic information from island species can
14 provide information on genetic diversity and population size (Frankham, 1997), demographic
15 history including bottlenecks and founder effects (Clegg et al., 2002a), adaptation and natural
16 selection (Barton, 1996), and the impacts of inbreeding (Frankham, 1998). Comparative studies
17 between closely related island and mainland taxa are especially useful because the typically
18 larger mainland populations provide a reference for patterns and processes inferred on islands
19 (Barrett, 1996; Woolfit and Bromham, 2005).

20 Simultaneous examination of island and mainland relatives may also provide insight into the
21 evolution of the continental species. Particularly in tropical regions, continental species may
22 have deep evolutionary histories and contain high levels of cryptic diversity (Bickford et al.,
23 2007; Gehara et al., 2014; Hebert et al., 2004; Janzen et al., 2005; Lecocq et al., 2013; Willig et

24 al., 2003). Island forms can serve as evidence of historical diversity and distributions for closely
25 related populations or taxa on the mainland (Gotelli and Graves, 1990; Miller et al. in review;
26 Olson, 1997, 1993; Snow, 1985). Genetic information from island populations can be used to
27 assess the monophyly of mainland populations (Crews et al., 2010; Fernández-Mazuecos and
28 Vargas, 2011; Phillimore et al., 2008; Wilson et al., 2015). In cases where the geological history
29 of the island is well-known, island populations can be used to calibrate divergence time estimates
30 among mainland populations (Almeida et al., 2005; Runemark et al., 2012; Smith and Klicka,
31 2013; Tollis and Boissinot, 2014), and infer histories of selection (Blondel et al., 1999; Clegg et
32 al., 2002b; Edwards, 1993; Griffith et al., 1999) or demographic or distributional changes in the
33 mainland populations. Reciprocal insight into both mainland and island evolution is therefore
34 possible by examining closely related insular and mainland taxa simultaneously.

35 Cocos Island is a 24 km² oceanic island roughly 550 km off the Pacific coast of Costa Rica.
36 Like the Galápagos Islands, Cocos arose volcanically as recently as 2 Mya and probably was
37 never connected with the mainland by a land bridge (Castillo et al., 1988; Dalrymple and Cox,
38 1968). A few phylogenetic studies provide insight into the relationships and biogeographic
39 history of terrestrial organisms on Cocos Island. The plant species found on the island arrived via
40 independent colonizations from other regions, mostly Central American and northwestern South
41 America (Igea et al. 2015). Both the Yellow Warbler (*Setophaga petechia*) and the Cocos Finch
42 (*Pinaroloxis inornata*), however, are most closely related to populations on the Galápagos
43 Islands, and the finch especially appears to represent a colonization from that archipelago (Petren
44 et al., 1999; Chaves et al., 2012). More detailed studies of the population and demographic
45 history of Cocos Island's terrestrial species, however, are lacking.

46 The Cocos Flycatcher (*Nesotriccus ridgwayi*) is the only member of its genus, and is
47 endemic to Cocos Island. *Nesotriccus* is a small, brownish member of the Tyrannidae
48 (flycatchers) with a curiously long bill, and its taxonomic affinities were historically unclear
49 (Fitzpatrick, 2004; Stiles and Skutch, 1989). Similarities of the nasal septum and of the
50 supporting elements of the syrinx, however, led Lanyon (1984) to suggest the nearest relatives of
51 *Nesotriccus* are two other flycatcher species, Mouse-colored Tyrannulet (*Phaeomyias murina*)
52 and Yellowish Tyrannulet (*Capsiempis flaveola*). *Phaeomyias murina* is also the only member of
53 its genus, and is distributed widely in the Neotropics from Panama south through lowland
54 northern South America to Argentina (Fitzpatrick, 2004). Like *Nesotriccus*, *Phaeomyias* is
55 brown with a grayish-olive to dark brownish-olive breast. There is considerable variation in
56 plumage and voice across the distribution of *Phaeomyias* (Fitzpatrick, 2004), and populations
57 west of the Andes in South America are sometimes considered a separate species, the Tumbes
58 Tyrannulet (*Phaeomyias tumbezana*; Ridgely and Greenfield, 2001). The Yellowish Tyrannulet
59 (*Capsiempis flaveola*) is an olive-colored flycatcher with bright yellow underparts and, like
60 *Phaeomyias*, is distributed widely in mainland Central and South America.

61 To elucidate the evolutionary affinities of *Nesotriccus*, we evaluated its position in a
62 phylogeny containing hypothetical close relatives using sequence data from genomic exons and
63 ultraconserved elements. We then further investigated the relationship between *Nesotriccus* and
64 *Phaeomyias*, as well as diversity within *Phaeomyias*, by collecting mitochondrial data from
65 range-wide samples of the two species. Finally, we used exon and ultraconserved element data
66 from *Nesotriccus* and a closely related population of *Phaeomyias* to estimate the demographic
67 history of these populations.

68

69

70 **2. Methods**

71

72 *2.1. Sampling and DNA isolation*

73

74 We sampled 46 individuals from across the distribution of the Mouse-colored Tyrannulet
75 (*Phaeomyias murina*; Table S1), including all named subspecies (Fitzpatrick, 2004). All genetic
76 samples were obtained from fresh tissue, with the exception of one toe pad from a study skin
77 from Colombia. We also sampled toe pads from two individuals of Cocos Flycatcher
78 (*Nesotriccus ridgwayi*) from Cocos Island (Table S1) and single tissues from the closely related
79 *Capsiempis flaveola* and Planalto Tyrannulet (*Phyllomyias fasciatus*). Genomic DNA was
80 extracted using the Qiagen DNeasy Blood & Tissue extraction kit (Qiagen, Valencia, California),
81 following the manufacturer's protocol. Extractions from toe pads of museum study skins were
82 conducted in a lab space separate from other tissue extractions to minimize contamination risk.
83 We obtained an ND2 sequence of *Capsiempis flaveola* (DQ294563) from Genbank for use as an
84 outgroup for mitochondrial analyses.

85

86 *2.2. PCR amplification and mitochondrial DNA sequencing*

87

88 We used polymerase chain reaction (PCR) to amplify the entire second subunit of the NADH
89 dehydrogenase mitochondrial gene (ND2; 1041 bp). Target DNA fragments were amplified
90 using primers L5215 (Hackett, 1996) and H6313 (Johnson and Sorenson, 1998) for fresh tissues,
91 and 3 pairs of internal primers (Table S2) for toe pad samples. We designed the custom PCR

92 primers using an alignment of existing *Phaeomyias* sequences from GenBank and the
93 PrimerQuest Tool from Integrated DNA Technologies (<http://www.idtdna.com/primerquest>).
94 Each pair covers a fragment of about 200 bp, and they are staggered so as to potentially recover a
95 ~600 bp region. PCR amplifications were performed in 25 μ L reactions using the following
96 protocol: denaturation at 94°C for 2:15 min, 34 cycles of 94°C for 30s, 50°C for 30s, and 72°C
97 for 1 min, followed by 7 min elongation at 72°C. DNA from toe pads was similarly amplified,
98 via polymerase chain reaction (PCR) in 25 μ L reactions. However, we used Qiagen hot-start plus
99 Taq (Qiagen, Valencia, California) and the following protocol: denaturation at 95°C for 5 min,
100 34 cycles of 94°C for 45s, 50°C for 45s, and 72°C for 1 min, followed by 10 min elongation at
101 72°C.

102 PCR products were sent to Beckman-Coulter (Danvers, MA) for SPRI purification and
103 sequencing using BigDye Terminator v3.1 (Applied Biosystems, Foster City, California) on a
104 PRISM 3730xl Genetic Analyzer (Applied Biosystems). Raw sequence data from both strands
105 were inspected, edited, and aligned using Geneious v5.4 (Drummond et al., 2011). Sequences
106 obtained in this study were deposited in GenBank (accession numbers pending).

107

108 *2.3. Analyses of mitochondrial sequence data*

109

110 We used Akaike's Information Criterion (AIC) implemented in MrAIC.pl (Nylander, 2004)
111 to determine the best-fit model of nucleotide substitution for ND2 (JC69) and used this model for
112 subsequent analyses. We estimated mitochondrial haplotype networks using the TCS method in
113 the program PopArt (Leigh and Bryant, 2015). We used MrBayes v3.2.2 (Ronquist et al., 2012)
114 to estimate a Bayesian phylogenetic tree using 100 million generations, four chains, two replicate

115 runs, and a 10% burn-in. We evaluated convergence and stationarity in Tracer v1.5 (Rambaut
116 and Drummond, 2007). We used BEAST v2.0.2 (Drummond et al., 2012) to estimate divergence
117 times among clades using a standard ND2 rate of 2.5% per million years based on published
118 calibrations (Smith and Klicka, 2010). We used a relaxed clock, a lognormal distribution for the
119 clock prior, and a coalescent (constant size) tree prior. We ran the analysis for 100 million
120 generations, sampling every thousand, but thinned to 10% of the sampled trees and used a 10%
121 burn-in to estimate a maximum clade credibility consensus tree in TreeAnnotator v.2.0.2
122 (distributed as part of the BEAST package).

123

124 2.4. UCE and exon sequencing

125

126 We used sequence capture to target ultraconserved elements (UCEs) and exons from across
127 the genome from one *Nesotriccus* individual and two *Phaeomyias* from the most closely related
128 population (Central and northern South America; see Results). We also conducted sequence
129 capture on the *Capsiempis flaveola* and *Phyllomyias fasciatus* samples. The sampling was part of
130 a larger phylogenomic study to be published elsewhere. We modified existing probe sets for
131 UCEs (Faircloth et al., 2012) in order to obtain additional sequence from the more variable UCE
132 flanks that might be useful for estimating shallow population histories. In UCE loci targeted with
133 a single probe, we designed two probes extending further into the UCE flanks. The 120-mer
134 probes were tiled such that they had 50% overlap (60 bp) in the middle of the locus and covered
135 180 bp total. Probe sequences were based on the chicken (*Gallus gallus*) genome release ICGSC
136 Gallus_gallus-4.0 (Hillier et al., 2004). We also targeted conserved exons adjoining variable
137 introns that have been used in prior avian phylogenetic studies (Kimball et al., 2009; Smith et al.,

138 2013; Wang et al., 2012). Although conserved, these exons are potentially more variable than
139 UCEs and might therefore provide useful information at the population level. Probes were
140 designed off the chicken genome sequence and were again tiled such that they covered the entire
141 exon sequence at 2x coverage (50% overlap between adjoining probes). The final probe set
142 included 4,715 probes targeting 2,321 UCEs and 96 exons.

143 We sent all samples to Rapid Genomics (Gainesville, FL) for sequence capture and
144 sequencing following the general protocol described in Faircloth et al. (2012) and Smith et al.
145 (2014). Samples were multiplexed at 160 samples per lane on a 100 bp paired-end Illumina
146 HiSeq 2500 run. Rapid Genomics demultiplexed raw reads using custom scripts and strict
147 barcode matching. We cleaned reads with Illumiprocessor (Faircloth, 2013). For the
148 phylogenetics analysis, we obtained consensus sequences for *Nesotriccus* and close relatives
149 using the Phyluce pipeline (Faircloth, 2015).

150 In order to obtain diploid sequence representing both alleles in each *Nesotriccus* and
151 *Phaeomyias* individual for population genetics analyses, we developed a second pipeline
152 (https://github.com/mgharvey/seqcap_pop) to process and assemble datasets as follows. We used
153 Velvet (Zerbino and Birney, 2008) and the wrapper program VelvetOptimiser (Gladman and
154 Seemann, 2009) exploring hash lengths of between 67 and 71 to assemble reads across all
155 individuals into contigs *de novo*. We mapped contigs to UCE probe sequences using Phyluce
156 (Faircloth, 2015). For each individual, we mapped reads to contigs that aligned to UCEs using
157 bwa (Li and Durbin, 2009). We explored thresholds that allowed anywhere from 1 to 7
158 mismatches between reads for mapping and settled on allowing 4 mismatches per read for each
159 assembly. We converted sam files to bam format using samtools (Li et al., 2009) and cleaned
160 bam files by soft-clipping reads outside the reference contigs with PICARD

161 (<http://broadinstitute.github.io/picard/>). We added read groups for each individual using
162 PICARD and merged the bam files across individuals with samtools. We realigned reads to
163 minimize mismatched bases using the RealignerTargetCreator and realigned indels using
164 IndelRealigner in the Genome Analysis Toolkit (GATK; McKenna et al., 2010). We called
165 single nucleotide polymorphisms (SNPs) and indels using the GATK UnifiedGenotyper,
166 annotated SNPs with VariantAnnotator, and masked indels using VariantFiltration. We removed
167 SNPs with a quality score below Q30 and conducted read-backed phasing using the GATK. We
168 output SNPs in vcf format and used `add_phased_snps_to_seqs_filter.py` (from the `seqcap_pop`
169 pipeline) to insert SNPs into reference sequences and produce alignments for each locus across
170 individuals. SNPs on the same locus for which phasing failed were inserted using the appropriate
171 IUPAC ambiguity codes. We collated sequences and produced final alignments using MAFFT
172 (Kato et al., 2005).

173

174 *2.5. Analyses of ultraconserved elements and exons*

175

176 We used the consensus UCE and exon sequences from Phyluce for each individual of
177 *Nesotriccus* and close relatives, based on preliminary data from a larger phylogenetic study, to
178 estimate a phylogenetic tree. The tree was estimated using a concatenated dataset partitioned by
179 locus in ExaML (Kozlov et al., 2015) and support was assessed using 500 bootstrap replicates.
180 We estimated a tree using only UCE data, one using only exon data, and one with both classes of
181 markers combined.

182 Using the `seqcap_pop` dataset containing both alleles from UCes and exons for each
183 individual, we calculated basic summary statistics and mapped the consensus sequence from

184 each recovered contig to the Zebra Finch (*Taeniopygia guttata*; Warren et al., 2010) genome to
185 determine their chromosomal positions. We estimated the demographic history of *Nesotriccus*
186 and the closely related (Panama + Colombia + Guyana) clade of *Phaeomyias* (based on
187 mitochondrial analyses, see Results) using the UCE and exon data in G-PhoCS v.1.2.1 (Gronau
188 et al., 2011). We used a two-population model and estimated the divergence time between
189 *Nesotriccus* and *Phaeomyias*, theta for both *Nesotriccus* and *Phaeomyias* populations, and theta
190 for the ancestral population. We examined a model with no migration between populations
191 subsequent to divergence as well as a model allowing for migration. Due to the possibility that
192 deep divergence between the two *Phaeomyias* samples would complicate demographic analysis,
193 we ran a separate analyses in which the Guyana sample (the further sample from Cocos Island
194 geographically) was removed. For each analysis, we used G-PhoCS v.1.2.1 (Gronau et al., 2011)
195 with gamma (α , β) priors of (1, 5000) for theta and tau and (1, 3) for migration and two replicate
196 runs of 800,000 (sampling every 100). We also explored the impact of theta and tau priors of (1,
197 50).

198 There are no standardized substitution rates for combined UCE and exon datasets. In lieu of
199 internal fossil or geologic calibrations we converted population size and migration rate estimates
200 to real values by standardizing the divergence time to that from the mitochondrial tree dated in
201 BEAST based on a standard estimates of ND2 substitution rate (following Smith et al., 2014).
202 The divergence time from analysis of mitochondrial data in BEAST was based on a model that
203 did not allow for migration and was subject to the vagaries of single-gene coalescence, but using
204 this date was the best available strategy for converting parameter estimates from the
205 demographic analyses of UCE and exon data. From this, the estimated UCE and exon

206 substitution rate was 1.10×10^{-6} substitutions/site/My (s/s/My) for the analysis without migration
207 and 1.14×10^{-6} s/s/My for the analysis with migration.

208

209

210 **3. Results**

211

212 *3.1. Genomic variation and divergence among populations*

213

214 We obtained high-quality ND2 sequence averaging 1,030 bp long from tissues and 519 bp
215 long from toe pads. The ND2 alignments included 138 SNPs, 7 of which had substitutions fixed
216 between the two *Nesotriccus* and all *Phaeomyias* individuals. We recovered 1,930 loci from the
217 UCE and exon probe set averaging 389 bp (sd = 107) in length and containing 1,444 SNPs in
218 total. Genotypes were missing from 64.4% of SNPs for the *Nesotriccus* individual sampled from
219 a toe pad versus 0.1% for the *Phaeomyias* sample from a tissue and 14.8% for the *Phaeomyias*
220 sample from a toe pad. Heterozygosity was much lower in *Nesotriccus* than *Phaeomyias*: only
221 7.4% of successfully genotyped SNPs (i.e., after removing missing genotype data) were
222 heterozygous in the *Nesotriccus* individual versus 47.5% and 43.5% in the two *Phaeomyias*
223 individuals. Median read depths of homozygous alleles were similar across the three samples
224 (1,021x for *Nesotriccus*, 1,020x for the two *Phaeomyias*), suggesting that lower read depth is not
225 responsible for the lower heterozygosity observed in *Nesotriccus*). The two *Phaeomyias*
226 individuals shared more unique alleles (16.3% of successfully genotyped sites) than the
227 *Nesotriccus* individual shared with either *Phaeomyias* (3.3% and 5.3%). Amongst the 470 SNPs
228 that had complete genotypes across samples, 48 had fixed alleles between the *Nesotriccus* and

229 the two *Phaeomyias* individuals. The fixed SNPs were distributed across 46 UCE loci (two loci
230 had two fixed SNPs), 3 of which mapped to the Zebra Finch Z chromosome, 42 to the
231 autosomes, and 1 to an unplaced scaffold (Fig. S1). The number of fixed SNPs between the
232 *Nesotriccus* and the two *Phaeomyias* individuals was higher than between either *Phaeomyias*
233 individual and the other two individuals (26 and 24 fixed SNPs).

234

235 3.2. Relationships among populations

236

237 The MrBayes mitochondrial tree reveals that *Nesotriccus* is nested within *Phaeomyias*
238 with complete support, and is sister to a *Phaeomyias* clade containing individuals from Central
239 America, northern Colombia, and Guyana (PP = 0.94; Fig. 1a). UCE and exon data confirm that
240 the close relationship between *Nesotriccus* and *Phaeomyias* is not a result of horizontal gene
241 flow or deep coalescence of mitochondrial alleles. In the ExaML tree of concatenated UCEs and
242 exons, the two *Phaeomyias* individuals were monophyletic (98% bootstrap support; Fig. S2) and
243 were sister to the *Nesotriccus* sample (100% bootstrap support). The same relationship was
244 recovered in the trees based on only UCEs and only exons. These were sister to the *Phyllomyias*
245 *fasciatus* individual (100% bootstrap support), and *Capsiempis flaveola* was sister to that entire
246 group (100% bootstrap support). Based on the BEAST analysis of mitochondrial data,
247 *Nesotriccus* diverged from the Central American *Phaeomyias* population ~1.2 Mya (HPD =
248 0.49-2.46 Mya; Fig. 1b).

249 We also observed deep mitochondrial structure across *Phaeomyias* with populations from
250 the western Amazon south to Argentina, the Guianan region and eastern Brazil, Central America
251 through Colombia to Guyana, the Marañon Valley and Tumbesian highlands, and coastal

252 Tumbesian region all exhibiting isolation for 0.25 My or longer (Fig. 1b). The haplotype network
253 provides further support for deep phylogeographic structure and clade membership across
254 individuals (Fig. 2).

255

256 *3.3. Demographic history*

257

258 Converted effective population size estimates from the model implemented in G-PhoCS for
259 *Nesotriccus* averaged one (analysis with both *Phaeomyias* samples) or two (analysis with
260 Guyana *Phaeomyias* sample removed) orders of magnitude smaller than those for the (Panama +
261 Colombia + Guyana) clade of *Phaeomyias* (Table 1). In both analyses, the *Nesotriccus* effective
262 population size was also much smaller than that of the population ancestral to both *Nesotriccus*
263 and the (Panama + Colombia + Guyana) *Phaeomyias* population. In the analysis with both
264 *Phaeomyias* samples included, the ancestral population size was extremely large, but when the
265 Guyana *Phaeomyias* sample was removed the ancestral population size was similar to that of the
266 contemporary size of the (Panama + Colombia + Guyana) *Phaeomyias* population. Migration
267 rate estimates in the model with migration parameters were very low, and effective population
268 sizes and substitution rates from the analyses with and without migration were similar (see
269 Methods; Table 1).

270

271

272 **4. Discussion**

273

274 The Cocos Flycatcher (*Nesotriccus ridgwayi*) is not as distinct as its placement in a
275 monotypic genus would suggest, but rather is phylogenetically nested within populations of the
276 Mouse-colored Tyrannulet (*Phaeomyias murina*). Because Cocos Island is an oceanic island,
277 *Nesotriccus* presumably colonized from mainland populations at some time subsequent to the
278 formation of the island. The mean estimated divergence date between *Nesotriccus* and the closest
279 *Phaeomyias* population from our analyses (1.2 Mya), assuming it accurately reflects the timing
280 of island colonization, is consistent with arrival subsequent to the island's formation about 2
281 Mya (Castillo et al., 1988). Many island populations have decreased genetic diversity consistent
282 with a population bottleneck (Frankham, 1997). The lower heterozygosity of the *Nesotriccus*
283 sample relative to the *Phaeomyias* individuals with UCE data is consistent with a severe founder
284 effect (Nei et al., 1975), and the relatively small effective population size in *Nesotriccus* from
285 demographic modeling further confirms the existence of a historical population bottleneck in this
286 species. The low rate of migration recovered from the demographic model with migration
287 parameters, and the minimal impact of the addition of migration to the estimates of other
288 parameters, suggest that recent gene flow between *Nesotriccus* and mainland *Phaeomyias*
289 populations has been negligible.

290 Several features of the demographic reconstructions deserve further discussion from a
291 methodological perspective. The effective population sizes are generally very large. This could
292 be due to the use of the mitochondrial divergence time for calibrating substitution rates. In cases
293 of no gene flow subsequent to divergence, the mitochondrial gene tree should always coalesce at
294 an earlier time than population divergence (Edwards and Beerli, 2000), and this inflated
295 divergence time would result in spuriously high substitution rate estimates and large effective
296 population sizes. Using mitochondrial divergence time to calibrate demographic parameter

297 estimates is questionable for these reasons, but until standard substitution rate estimates are
298 available for UCEs and conserved exons, other calibration strategies are unavailable. In analyses
299 in which both the Colombia and Guyana samples from *Phaeomyias* were included in
300 demographic analyses, the deep divergence between these samples is the probable cause of the
301 very large effective population size estimate of the ancestral population. Alleles that are not
302 shared by the two *Phaeomyias* samples inflate the inferred number of coalescence events in the
303 ancestral population and result in a large inferred population size. When only the Colombia
304 sample was included, the ancestral effective population size was similar to the contemporary
305 population size of the *Phaeomyias* population.

306 Some of the divergence between *Nesotriccus* and mainland *Phaeomyias* populations may be
307 related to adaptive evolution. Early colonists to islands are thought to broaden their niches to
308 become more generalist (Lack, 1976). Sherry (1985) found that, although the diversity of prey in
309 *Nesotriccus* was no more than mainland relatives, the number of insect guilds and the diversity
310 of foraging tactics were greater in *Nesotriccus*. The long bill of *Nesotriccus* relative to all
311 *Phaeomyias* populations on the mainland (Fig. S3; Sherry, 1985) is also evidence that it has
312 undergone adaptations to a novel environment. Further investigation of the genetic
313 underpinnings of these adaptations is warranted. The 46 loci containing fixed SNPs observed
314 between *Nesotriccus* and *Phaeomyias* may be in regions associated with important adaptations in
315 either species. Their wide dispersion across the genome, however, suggests that adaptations are
316 either scattered across the genome, or that many of the fixed alleles are a result of neutral
317 processes, potentially including founder effects. Moreover, some of the putative fixed SNPs may
318 not actually be fixed between the species, but may be artifacts resulting from the very small
319 sample sizes of individuals examined in the UCE and exon datasets.

320 Five to six clades within *Phaeomyias*, as currently recognized, represent divergences as deep
321 or deeper than the split of *Nesotriccus* from *Phaeomyias*. Deep divergences within *Phaeomyias*
322 are consistent with previous suggestions that the species may represent more than one species,
323 although prior studies generally only regarded the populations east and west of the Andes as
324 putative species-level taxa (Rheindt et al., 2008; Ridgely and Greenfield, 2001). A split into three
325 species is necessary to maintain monophyly of *Phaeomyias* taxa with respect to *Nesotriccus*. The
326 first proposed species, sister to *Nesotriccus*, is distributed from Central America (currently
327 *eremonoma*) through northern Colombia to Guyana (currently *incomta*). The second occurs west
328 of the Andes in the Tumbesian region as well as in the Marañon valley and on adjacent Andean
329 slopes in northwestern South America (currently *tumbezana*, *inflava*, and *maranonica*). The last
330 is widespread east of the Andes in the Guianas and the Amazon Basin south to Argentina
331 (currently *incomta*, *murina*, and “*ignobilis*”). Interestingly, this last clade appears to overlap with
332 the clade from Central America and northern South America in Guyana. Specimens assigned to
333 either clade (LSUMZ 48589 and 48557) were collected less than 15 km apart and without any
334 obvious intervening habitat or landscape barrier (S. Claramunt, pers. comm.). The specimens
335 differ, however, in plumage (Fig. S4), and it is possible that populations in one or both clades are
336 migratory, thus they may not breed syntopically. Larger samples of markers and individuals, as
337 well as additional information on movement behavior, are desirable to better characterize
338 *Phaeomyias* diversity in this region.

339 In addition, in northwestern Peru we recovered two deeply divergent clades of *Phaeomyias*: a
340 coastal Tumbes population and a highland population distributed in the Tumbes mountains and
341 Marañon Valley. There are three named subspecies of *Phaeomyias* from northwestern Peru:
342 *tumbezana* was described from the coastal city of Tumbes (Taczanowski, 1877), *inflava* from

343 Virú near the coast of La Libertad to the south (Chapman, 1924), and *maranonica* from Jaen in
344 the Marañon Valley (Zimmer, 1941). The divergent lowland genetic clade recovered in our
345 analyses includes samples from near the city of Tumbes and likely corresponds to *tumbezana*.
346 We did not observe any divergence within coastal birds south to Lambayeque, but lack samples
347 near the type locality of *inflava*. Our montane Tumbes samples from Cerros de Amotape and the
348 west slope of the Andes are genetically similar to Marañon samples, and birds from these areas
349 may best be combined under the name *maranonica*. Plumage and vocal characters are also
350 similar between montane Tumbes and Marañon populations, but the coastal Tumbes populations
351 differ markedly in plumage and voice (Pratolongo et al., 2012; Schmitt et al., 2013). Lowland
352 *tumbezana* and montane populations matching *maranonica* in plumage, voice, and mitochondrial
353 DNA occur within about 10 km of each other on the lower slopes of the western Andes, where
354 they appear to segregate by habitat and elevation (Pratolongo et al., 2012; Schmitt et al., 2013; F.
355 Angulo P., D. Lane, pers. comm.). Vocal, morphological, and genetic data divergence between
356 *tumbezana/inflava* and *maranonica* (including montane Tumbes populations), combined with
357 their nearly sympatric distributions, suggest the two merit recognition as separate species.
358 Further work is needed to ascertain if interbreeding or introgression occurs in this region.

359 A final split within the widespread eastern clade between birds from northeastern South
360 America (Guyana and eastern Brazil) and those in central and southern South America (Peru,
361 Bolivia, and Argentina) may be warranted, but divergence is not as deep as the other splits.
362 Additional sampling is needed from the vast area of forest and cerrado between eastern Bolivia,
363 the right bank of the Madeira River, and northeastern Brazil to resolve the relationships between
364 these populations.

365 We used simultaneous examination of island and mainland populations to study the evolution
366 of both the insular endemic *Nesotriccus* and its mainland relative *Phaeomyias*. Although
367 relationships could be resolved using mitochondrial data, genomic data from a subset of samples
368 allowed us to estimate contemporary genetic diversity and historical demography with greater
369 precision. Additional studies of closely related island and mainland populations based on
370 genomic datasets are desirable to determine whether population bottlenecks are truly pervasive in
371 island populations and to better understand the biogeographic and demographic histories of both
372 island and mainland taxa.

373

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375

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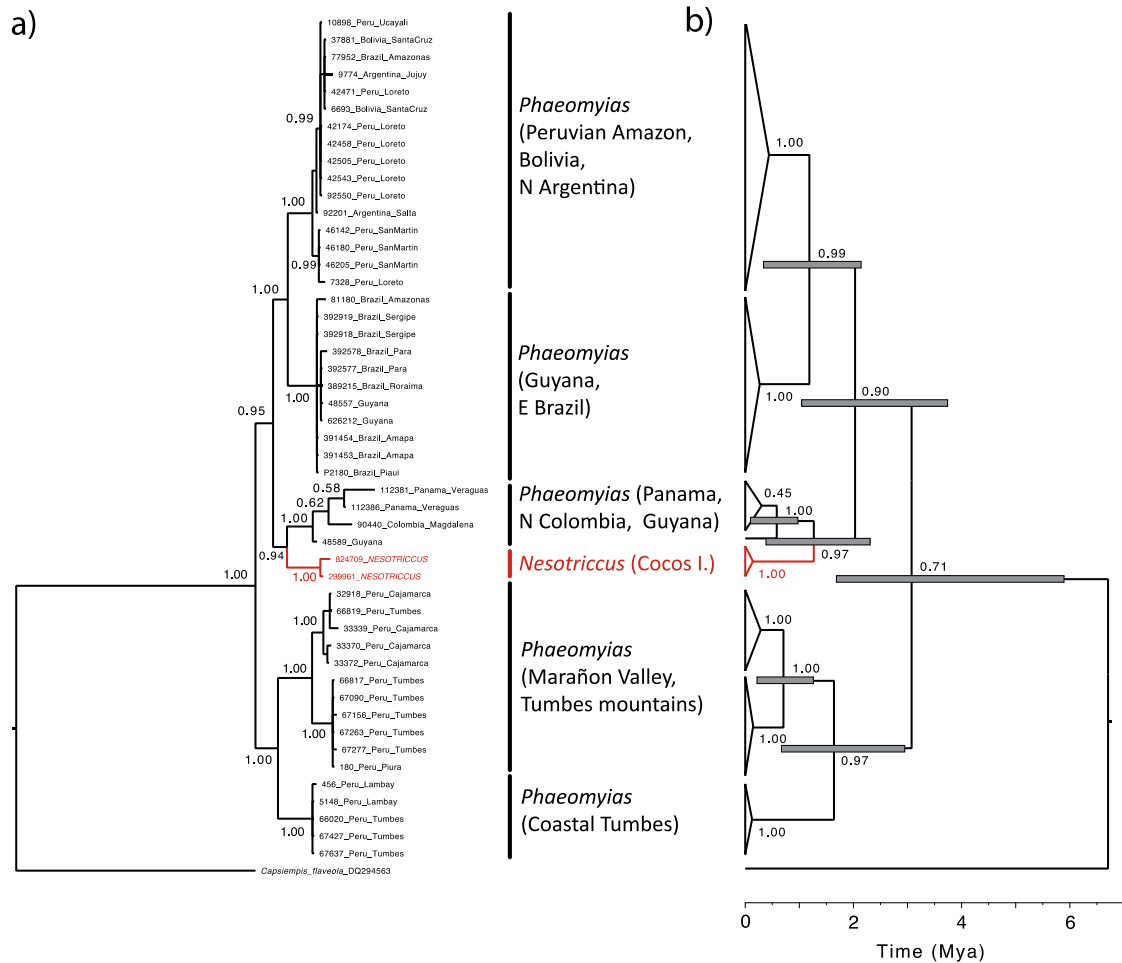


Figure 1. Bayesian phylogenies of relationships within *Phaeomyias*, including *Nesotriccus* (marked in red), from ND2 data using MrBayes (a) and BEAST (b). The BEAST tree is time-calibrated and gray bars indicate the limits of the high posterior density estimate of divergence time at each node.

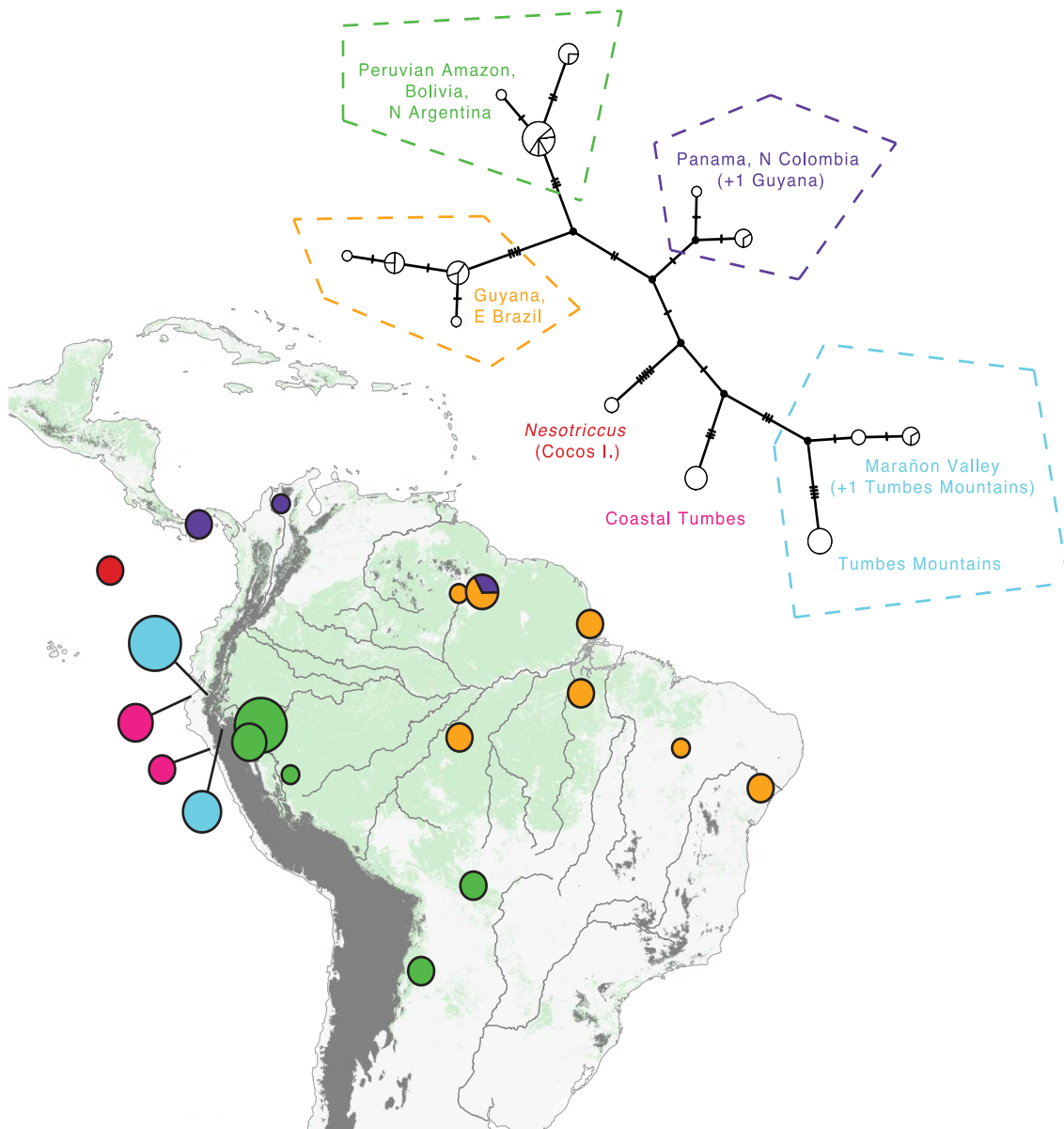


Figure 2. A network showing ND2 haplotype differentiation across the distribution of *Phaeomyias* and *Nesotriccus* based on the TCS method in PopArt. Circle size corresponds to the number of individuals represented. The map shows the distribution of each haplotype group, and the circle colors match the colors of the dashed line surrounding a particular group in the network. The +1 Guyana sample clustering with Panama and Colombia samples is LSUMZ B-48589.

Table 1

	Effective Population Size (Number of Individuals)			Migration Rate (Indiv./Yr.)	
	<i>Nesotriccus</i>	<i>Phaeomyias</i>	Ancestral	<i>Nesotriccus</i> → <i>Phaeomyias</i>	<i>Phaeomyias</i> → <i>Nesotriccus</i>
Migration	249,000 (44,000-534,000)	3,056,000 (508,000-6,541,000)	183,532,000 (171,781,000-19,531,000)	1.17-6 (5.83-12-7.49-6)	9.52-8 (5.00-13-6.11-7)
No migration	249,000 (30,000-518,000)	3,060,000 (359,000-6,431,000)	191,156,000 (179,076,000-203,487,000)	NA	NA
Guyana removed	322,000 (4000-876,000)	47,376,000 (6,061,000-98,624,000)	(35,240,000-42,156,000)	5.68-5 (2.18-10-3.52-4)	3.85-7 (1.50-13-3.14-6)

