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Sequence Capture versus Restriction Site Associated DNA Sequencing for Shallow Systematics

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Abstract.—Sequence capture and restriction site associated DNA sequencing (RAD-Seq) are two genomic enrichment strategies for applying next-generation sequencing technologies to systematics studies. At shallow timescales, such as within species, RAD-Seq has been widely adopted among researchers, although there has been little discussion of the potential limitations and benefits of RAD-Seq and sequence capture. We discuss a series of issues that may impact the utility of sequence capture and RAD-Seq data for shallow systematics in non-model species. We review prior studies that used both methods, and investigate differences between the methods by re-analyzing existing RAD-Seq and sequence capture data sets from a Neotropical bird (Xenops minutus). We suggest that the strengths of RAD-Seq data sets for shallow systematics are the wide dispersion of markers across the genome, the relative ease and cost of laboratory work, the deep coverage and read overlap at recovered loci, and the high overall information that results. Sequence capture’s benefits include flexibility and repeatability in the genomic regions targeted, success using low-quality samples, more straightforward read orthology assessment, and higher per-locus information content. The utility of a method in systematics, however, rests not only on its performance within a study, but on the comparability of data sets and inferences with those of prior work. In RAD-Seq data sets, comparability is compromised by low overlap of orthologous markers across species and the sensitivity of genetic diversity in a data set to an interaction between the level of natural heterozygosity in the samples examined and the parameters used for orthology assessment. In contrast, sequence capture of conserved genomic regions permits interrogation of the same loci across divergent species, which is preferable for maintaining comparability among data sets and studies for the purpose of drawing general conclusions about the impact of historical processes across biotas. We argue that sequence capture should be given greater attention as a method of obtaining data for studies in shallow systematics and comparative phylogeography.

New sequencing technologies promise to provide increasingly detailed estimates of species and population histories by resolving rapid radiations (Wagner et al. 2013), improving demographic parameter estimates (Jakobsson et al. 2008), and identifying regions of the genome under selection (Wang et al. 2009). Researchers have recently adopted widely divergent strategies, however, in the approaches used to generate data for molecular systematics. Restriction site associated DNA sequencing (RAD-Seq) is the most widespread method for obtaining genomic data sets from non-model organisms, particularly for population genetic and phylogeographic studies (reviewed in Narum et al. 2013), and these data are also being increasingly used for phylogenetics (e.g., Faircloth et al. 2013; Wagner et al. 2013). In contrast, sequence capture approaches, typically targeting exons or other conserved portions of the genome, have been used primarily for reconstructing phylogenies (e.g., Faircloth et al. 2013; McCormack et al. 2013; Leaché et al. 2014). Sequence capture data are also useful for population genetic and phylogeographic studies (Carstens et al. 2013; Smith et al. 2014; McCormack et al. 2015), although few researchers have adopted this method for studies at shallow timescales. Other current genomic methods are less applicable to systematics, either because they require high-quality samples for RNA extraction (transcriptomics; Morin et al. 2008; Künster et al. 2010), which are poorly represented in genetic resources collections, or because they remain prohibitively expensive when applied to many samples and species (whole genome sequencing; Ellegren 2014; but see Lamichhaney et al. 2015; Nater et al. 2015). Although RAD-Seq and sequence capture are both promising tools for population genetics, phylogeography, and shallow phylogenetic studies of non-model organisms, a more careful consideration of their potential weaknesses and strengths is warranted.

Differences in the potential utility of RAD-Seq and sequence capture stem from a set of issues that affect the resulting data sets. These issues are related to the function and distribution of the loci targeted, the cost of library preparation and sequencing, the assessment of sequence read orthology and locus assembly, the
accuracy of variant calling and genotyping, and the information content within and across resulting loci. Each issue affects data sets in ways that may bias downstream analyses such as phylogeny reconstruction and demographic parameter estimation (Huang and Knowles 2014; Mastretta-Yanes et al. 2014; Harvey et al. 2015), and all issues may impact reproducibility and comparability of inferences across studies and species. Differences in the effects of each issue between RAD-Seq and sequence capture methods may determine which is preferable for particular applications in systematics, but there has been no thorough discussion of these issues that considers the relative merits of both RAD-Seq and sequence capture approaches.

Here, we review the major issues impacting the utility of next-generation sequencing data sets applied to systematics studies in non-model species, discuss differences in the importance of each issue relative to RAD-Seq and sequence capture data sets, and examine how each issue might affect downstream systematics analyses. We focus on applications to “shallow systematics”, a term we use to encompass the diversity of population genetic, phylogeographic, and phylogenetic analyses currently employed by systematics researchers studying variation among populations or closely related species. We review existing studies and re-analyze previously published RAD-Seq and sequence capture data sets from the same population-level sample of a Neotropical bird (Plain Xenops, X. minutus) to provide an empirical example of the differences between methodological approaches. We argue that, although sequence capture and RAD-Seq are both useful for different applications in shallow systematics, sequence capture is better suited for making comparisons among data sets and studies and for drawing general conclusions about the processes responsible for similarities and differences in population history across species. Unlike RAD-Seq data, which are essentially one-off data sets, sequence capture data represent a lasting, amplifiable resource for comparative studies at multiple taxonomic scales.

OVERVIEW OF RAD-SEQ AND SEQUENCE CAPTURE

Previous authors have described, in detail, the various strategies for conducting RAD-Seq (e.g., Davey et al. 2011; Elshire et al. 2011; Peterson et al. 2012; Wang et al. 2012; Stolle and Moritz 2013) and sequence capture studies (e.g., Gnirke et al. 2009; Mamanova et al. 2010; Bi et al. 2012; Faircloth et al. 2012; Lemmon et al. 2012; Hedtke et al. 2013; Li et al. 2013; Fortes and Paijmans 2015), so we only present a brief review of the methods. We use RAD-Seq to refer to the family of methods using restriction enzyme digests for genome reduction and high-throughput sequencing, including methods termed “genotyping by sequencing” (GBS). RAD-Seq involves digesting genomic DNA with one or more enzymes, adding platform-specific adapters to the fragments, and selecting fragments for sequencing that fall within a particular size distribution (Fig. 1a). This digestion reduces the genome by sampling only those regions near cut sites or where cut sites occur within a certain distance of one another (Baird et al. 2008). Variations on this general method differ primarily in the number of enzymes used (one or two), the types of enzymes used and the frequency of their targeted cut sites, whether random shearing is used on one end, and the approaches used for size selection (Davey et al. 2011; Stolle and Moritz 2013). Sequence reads are distributed around cut sites depending on the method; for example, single reads bordering a single cut site or paired reads widely spaced around a single cut site as in the original RAD-Seq (Baird et al. 2008), single reads adjacent to two nearby cut sites either for the same (Elshire et al. 2011; Stolle and Moritz 2013) or different (Peterson et al. 2012) enzymes, or a single read straddling a cut site (Wang et al. 2012; Fig. 1c). In most RAD-Seq methods, all fragments from a given locus have at least one static end (the cut site), meaning that sequence reads are not randomly distributed around a given cut site, which restricts the assembly of longer sequences from RAD-Seq reads. Although variations involving paired-end sequencing can produce longer alignments (Willing et al. 2011), most RAD-Seq techniques focus on collecting short sequences or single-nucleotide polymorphism (SNP) data from groups of short sequences.

Sequence capture involves preparing DNA libraries from randomly fragmented DNA templates and hybridizing these libraries to sets of biotinylated synthetic oligonucleotide probes, also called baits (Gnirke et al. 2009). The probes typically have lengths of 60–120 bases and the sequence of each probe is complementary to one of hundreds or thousands of genomic regions of interest selected by the researcher from available sequence data (Fig. 1b). In the absence of existing genomic resources for a taxonomic group of interest, probes from genomic regions that are conserved across divergent taxa (e.g., all amniotes, hymenoptera, or similar) can be used (Faircloth et al. 2012, 2015). Streptavidin-coated paramagnetic beads are used to attract the biotinylated probes and hybridized DNA library fragments, unwanted (non-target) portions of the DNA library are washed away, and targeted fragments are then released from the beads for sequencing (Gnirke et al. 2009; Fisher et al. 2011). Because probes can be tiled across longer regions and enriched fragments are distributed in different positions across targeted loci, reads from sequence capture can be used for assembly of longer sequences (Fig. 1d). The length of contigs formed from sequence capture data is a function of the number and distribution of probes, library insert size, and read depth.

RE-ANALYSIS OF EXISTING DATA

Although an increasing number of both sequence capture and RAD-Seq studies present results pertinent to the issues we describe below, drawing comparisons
between studies is challenging because they often differ dramatically in sampling and, most importantly, in the methodological decisions made during the process of collecting and processing sequence data. We therefore supplement our review of existing studies with re-analysis of published RAD-Seq and sequence capture data sets, and we process these data using settings that maintain as much consistency as possible between each data set. Specifically, we analyzed RAD-Seq (Harvey et al. 2015) and sequence capture (Smith et al. 2014) data collected from the same eight individuals (Supplementary Table S1, available on Dryad at http://dx.doi.org/10.5061/dryad.604b8) of a non-model Neotropical bird, the Plain Xenops.
(*X. minutus*; family Furnariidae). Populations of *X. minutus*, which occurs in lowland Neotropical forests, began diverging roughly 5 Ma (Smith et al. 2014), and a deep divergence is present between populations on either side of the Andes Mountains (Harvey and Brumfield 2015). We sampled four individuals from populations west of the Andes Mountains and four from populations east of the Andes. We collected RAD-Seq data from all samples using a GBS approach (Elshire et al. 2011), and we collected sequence capture data from ultraconserved elements as described in Faircloth et al. (2012) and Smith et al. (2014). Overall sequencing effort was higher for sequence capture (each sample was 1 of 44 on an Illumina Hi-Seq lane) than RAD-Seq (each sample was 1 of 96 on an Illumina Hi-Seq lane) resulting in an average of 4.96 times higher overall raw read counts in the sequence capture data sets (Supplementary Table S2, available on Dryad). We elected not to normalize read counts because there are diverse potential criteria for normalization (e.g., total number of reads, reads in the assembly, mean read depth at variable sites), none of which would necessarily yield equivalent data sets. Instead, we generally examine results that may be sensitive to variation in read depth across assemblies but not to absolute read depths. Although the fundamental attributes of RAD-Seq and sequence capture data sets necessitate the use of different methods for data set assembly, thereby reducing comparability, we used approaches and parameter settings for processing that were as similar as possible between data sets (see Supplementary Information, available on Dryad). For RAD-Seq data, we re-processed raw sequence reads and conducted *de novo* assembly using Stacks (Catchen et al. 2011, 2013), and for the sequence capture data we re-processed raw sequence reads using a custom pipeline for assembly of population-level sequence capture data sets (https://github.com/mgharvey/seqcap_pop, last accessed 30 April 2016; details in Supplementary Information, available on Dryad) that use some functions from the PHYLUCE package (Faircloth 2015). For both RAD-Seq and sequence capture data, we explored a series of sequence similarity thresholds for assembly and minimum read depths for calling alleles (see below), but we conducted all other analyses on data sets assembled using a 96% similarity threshold with the requirement of 7 × minimum read depth per allele (Table 1). We refer to these data sets throughout as the *X. minutus* RAD-Seq and sequence capture data sets.

**Issues in Next-Generation Sequencing Data Sets**

The issues that determine the content of next-generation sequencing data sets are diverse and variable across methods, and we focus here on those issues that we think deserve the greatest weight when selecting RAD-Seq or sequence capture for a project in shallow systematics. We summarize differences in how each issue impacts sequence capture and RAD-Seq in Table 2.

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**Table 1. Summary of Xenops minutus Dataset Attributes.**

<table>
<thead>
<tr>
<th></th>
<th>UCEs</th>
<th>RAD-Seq</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of loci</td>
<td>1358</td>
<td>158,329</td>
</tr>
<tr>
<td>Mean locus length (SD)</td>
<td>590.36 (209.21)</td>
<td>95.35 (6.62)</td>
</tr>
<tr>
<td>Mean number of segregating sites (SD)</td>
<td>4.07 (3.57)</td>
<td>1.35 (1.56)</td>
</tr>
<tr>
<td>Mean number of alleles (SD)</td>
<td>4.52 (2.88)</td>
<td>2.04 (1.14)</td>
</tr>
<tr>
<td>Mean Watterson’s Theta (SD)</td>
<td>0.0021 (0.0037)</td>
<td>0.0037 (0.0065)</td>
</tr>
<tr>
<td>Mean Tajima’s D (SD)</td>
<td>−0.36 (0.62)</td>
<td>0.59 (0.90)</td>
</tr>
</tbody>
</table>

**Table 2. Pros, Cons, and Applications of RAD-Seq and Sequence Capture Datasets.**

<table>
<thead>
<tr>
<th>Category</th>
<th>RAD-Seq</th>
<th>Sequence capture</th>
</tr>
</thead>
<tbody>
<tr>
<td>Marker distribution and genomic context</td>
<td>Pro: Widely dispersed across genome</td>
<td>Pro: Can be tailored using new genomic information</td>
</tr>
<tr>
<td></td>
<td>Con: Anonymous, evolutionary processes largely unknown</td>
<td>Con: Purifying selection impacts allele frequencies</td>
</tr>
<tr>
<td>Practical considerations</td>
<td>Pro: Less expensive, faster</td>
<td>Pro: Works with low-quality and highly contaminated samples</td>
</tr>
<tr>
<td>Assembly and orthology identification</td>
<td>Pro: Deep coverage, high read overlap</td>
<td>Pro: Over-splitting less problematic</td>
</tr>
<tr>
<td>Variant-calling and genotyping</td>
<td>Pro: Fewer rare alleles may make errors easier to distinguish, phasing more straightforward</td>
<td>Pro: Fewer low-coverage rare alleles, no allele dropout</td>
</tr>
<tr>
<td>Information content</td>
<td>Pro: More overall information</td>
<td>Pro: More information per locus</td>
</tr>
<tr>
<td>Applications</td>
<td>Genome scans, rapid and inexpensive analyses, analyses using species in clades without genomic information, extremely shallow divergences and otherwise intractable relationships</td>
<td>Comparisons across species, calibrating parameter estimates, targeting loci of known utility or interest, studies using poor-quality samples, studies requiring resolved gene trees, deeper phylogenetic studies</td>
</tr>
</tbody>
</table>
necessarily dispersed randomly throughout the genome, however, in part due to a preponderance of cut sites in regions with particular base compositions (DaCosta and Sorenson 2014).

Sequence capture in non-model species typically targets portions of the genome adjacent to highly conserved regions, such as ultraconserved elements (Faircloth et al. 2012) and conserved exons (Bi et al. 2012; Hedtke et al. 2013; Li et al. 2013). Conserved regions are generally selected such that they are distributed widely across available genomes (Faircloth et al. 2012). Ultraconserved elements may serve a structural or regulatory function and their conservation across deep evolutionary timescales may be indicative of strong purifying selection (Bejerano et al. 2004; Katzman et al. 2007), while exons may experience selection of various types, including purifying selection (Ward and Kellis 2012). Researchers using conserved sequences and exons have generally not selected loci based on their genomic distribution.

Because data directly comparing the distributions and genomic contexts of RAD-Seq and sequence capture are generally lacking, we explored these in our example taxon. We used Blastn (Altschul et al. 1997) to map both sets of loci to the closest genome assembly, a Golden-collared Manakin (Manacus vitellinus; Zhang et al. 2014) in a different family (Pipridae) but the same suborder (Tyranni) as Xenops (diverging 61–65 Ma; Barker et al. 2004). Using default Blastn settings, 99.4% of UCE loci successfully mapped to the Manacus genome compared with 17.7% of the RAD-Seq loci. The low proportion of RAD-Seq loci successfully mapping to the Manacus genome is consistent with the relatively low proportion of loci that are conserved across such deep evolutionary timescales in other studies of birds (e.g., McCormack et al. 2012). We used variance in the mean distance between loci across the 92,756 scaffolds in the Manacus genome as an index of the level of clustering (Fig. 2). Both UCEs and RAD-Seq loci recovered from X. minutus are more clustered than 1000 randomly positioned loci identified through simulations ($p < 0.001$; Supplementary Figs. S1a,b and S2a,b, available on Dryad), but the UCEs are more clustered than are all but 1 of 1000 random subsets of the RAD-Seq loci equal in size to the UCE data set ($p = 0.001$; Supplementary Fig. S1c, available on Dryad). The RAD-Seq loci are closer both to predicted protein-coding genes ($33.4 ± 71.3$ kbp) and repetitive elements ($3.8 ± 4.9$ kbp) than are UCEs ($55.0 ± 84.1$ kbp from genes, $4.3 ± 4.3$ kbp from REs). When mapped to a more distant genome (Taeniopygia guttata; Warren et al. 2010) with chromosome assemblies available, we found that the proportion of RAD-Seq and sequence capture loci on each chromosome was similar ($R^2 = 0.85, p = 2.12 \times 10^{-12}$; Supplementary Figs. S2 and S3c,d, available on Dryad).

In the X. minutus data sets, Tajima’s $D$ is lower (mean = $-0.36$, $SD = 0.82$) in sequence capture loci than in RAD-Seq loci (mean = $0.59$, $SD = 0.90$), consistent with the expected effects of purifying selection (Haril and Clark 2006). Recent evidence suggests few genomic regions are truly “neutral” (Andolfatto and Przeworski 2000; Schmid et al. 2005), and examinations of neutral
population or species history may need to account for the action of selection, regardless of the loci under examination. Overall, RAD-Seq may better target heterogeneous genomic regions and be more applicable when used across species in taxonomic groups with very little genomic information. Sequence capture is flexible in that probe sets can be augmented or pruned as more genomic information becomes available for a group of interest or as loci are found to be more or less “neutral” or useful for a particular purpose.

**Practical Considerations**

Both RAD-Seq and sequence capture can be conducted with relatively small amounts of whole genomic DNA, such as those present in many museum samples. Sequence capture can often be achieved with templates of very low concentration or quality (Bi et al. 2012; Guschniski et al. 2013; McCormack et al. 2015). Many RAD-Seq methods require input DNA of higher molecular weight, but some protocols have been developed for samples of poor quality or concentration (e.g., Tin et al. 2014; Graham et al. 2015). In addition, sequence capture methods using RAD-Seq libraries as probes may allow RAD loci to be recovered from low-quality samples (Suchan et al. 2015), and sequence capture of RAD loci may perform similarly (Ali et al. 2015; Hoffberg et al. 2016).

Although next-generation sequencing platforms have dramatically reduced the cost and time involved in sequencing (Glenn 2011; Wetterstrand 2015), funding and time may still be limiting in large comparative studies due to expensive library preparations and limitations on the number of samples that can be multiplexed on a single sequencing lane (Harris et al. 2010). The cost of equipment purchase is negligible because both RAD-Seq and sequence capture can be conducted using equipment that is standard in most molecular laboratories (Gnirke et al. 2009; Elshire et al. 2011), although a sonicator is necessary for some library preparation methods used in sequence capture protocols. Sequence capture is generally more expensive than RAD-Seq due to the costs associated with more involved library preparation and purchasing enrichment probes. For our *X. minutus* data sets, sample preparation and sequencing for RAD-Seq data sets cost roughly $40 US per sample and sequence capture data sets cost roughly $60 per sample. Sequence capture may also require greater sequencing depth (to get sufficient coverage of more variable regions flanking conserved probe targets) and thus have a higher sequencing cost than RAD-Seq on a per locus basis. Depending on the success of an enrichment procedure, sequence capture may more efficiently target single-copy loci. However, in the *X. minutus* data sets, an average of only 5.0% of raw reads was in final assemblies in the sequence capture data set, versus 40.1% in the RAD-Seq data set (Supplementary Table S2, available on Dryad). This disparity may be due to poor enrichment success in the sequence capture samples we analyzed (Smith et al. 2014).

Similarly, time investment is modest for both methods (Gnirke et al. 2009; Elshire et al. 2011), although sequence capture is slower due to the additional hybridization and enrichment steps. For 96 samples, library preparation for RAD-Seq can be completed in about two days, whereas an equivalent number of sequence capture libraries can be prepared in 2–4 days. Commercial library preparation and sequencing services, requiring only quantified whole-genomic DNA, are available for both RAD-Seq and sequence capture. Commercial sequence capture services also require a list of target sequences from which to synthesize probes.

**Assembly and Orthology Identification**

In next-generation sequencing workflows, the process of data set assembly is non-trivial, and its success depends on the attributes of the reads coming off the sequencer as well as the methodological decisions made during bioinformatics processing. Assembling reads into sequences and aligning them across individuals into loci is a critical component of processing next-generation sequencing data sets and has received the most attention, particularly in prior studies of the utility of RAD-Seq data for systematics (e.g., Rubin et al. 2012; Cariou et al. 2013). A primary initial concern in orthology assessment of next-generation sequence reads was whether, in divergent lineages separated by millions of years of evolutionary history, reads could be reliably recovered from sufficient loci for historical inference. It is now clear that, even in less conserved regions such as those potentially targeted by RAD-Seq protocols, at least some orthologous data can be recovered for population-level analyses and phylogenetic analyses involving species with divergences of up to 60 Ma or more (Rubin et al. 2012; Cariou et al. 2013).

A secondary issue, however, is whether the process of orthology assessment introduces biases in the resulting data sets that affect downstream analyses. Interactions between sequence divergence and the assembly parameters selected during data processing can have profound effects on resulting data sets. Many assembly programs are available (e.g., Zerbino and Birney 2008; Simpson et al. 2009; Catchen et al. 2011) and all use sequence similarity, in some form, to assemble reads. Reads with high sequence similarity are expected to come from the same locus and are assembled, whereas those with low similarity are expected to come from different loci and are not (Pop and Salzberg 2008; Chaisson et al. 2009). A threshold is used to determine which reads belong to a single locus, but variation in genetic divergence across the genome and among study systems makes determination of an appropriate threshold challenging (Hut et al. 2014; Harvey et al. 2015). If the similarity threshold applied is too low, reads from different loci will be assembled into a single locus and treated as orthologous (undersplitting), whereas if the
threshold is too high, alleles belonging to a single locus may be split into separate alignments (oversplitting).

The use of similarity thresholds for assembly is a concern for both RAD-Seq and sequence capture studies. Undersplitting may be frequent in RAD-Seq data sets if enzyme cut sites in different genomic regions fall within similar sequences, although previous results from simulated and empirical RAD-Seq data suggest that undersplitting is very infrequent (Ilut et al. 2014) and does not introduce enough signal to impact downstream analyses (Rubin et al. 2012). In many sequence capture approaches, loci are vetted to ensure they are single-copy in existing genome sequences (e.g., Faircloth et al. 2012), but the possibility of paralogous reads assembling to these loci in other taxa exists. That said, high sequence similarity within conserved regions may permit easier discrimination between orthologous and paralogous reads in sequence capture data sets, and the biology of ultraconserved elements suggests that paralogy is low (Derti et al. 2006).

We examined the relative frequency of undersplitting in RAD-Seq and sequence capture data sets from *X. minutus*. Examining raw assemblies, we used the number of alignments containing individuals with three or more alleles (birds are diploid) as an index of the frequency of putative paralogy (Ilut et al. 2014; Harvey et al. 2015). Given the use of a stringent depth setting per allele to remove errors (7× coverage), loci containing individuals with three or more alleles likely represent paralogous sequence rather than loci containing alleles resulting from sequencing errors. We found that undersplitting is of roughly equal and very low (<0.6% of loci) prevalence in both RAD-Seq and sequence capture data sets assembled under a range of similarity thresholds, although undersplitting increased slightly at more liberal thresholds (Supplementary Fig. S4, available on Dryad). The undersplit loci were identified and easily removed from both data sets. These results suggest undersplitting and paralogy are a relatively minor concern for both RAD-Seq and sequence capture data sets, at least in species without highly repetitive genomes and when examining relatively recently diverged samples that do not necessitate the use of liberal similarity thresholds.

Oversplitting may be frequent in short read data sets when high similarity among reads is required for assembly (Ilut et al. 2014). In *de novo* RAD-Seq assembly, oversplitting results in the separation of alternative alleles at a locus into separate alignments. Conversely, in sequence capture data sets, because reads are being aligned to a sequence determined *a priori*, oversplitting results in the loss of reads and therefore alleles that are highly divergent from the reference. High similarity thresholds for locus assembly, such as 98% or 99%, are often used with short read data sets (e.g., Catchen et al. 2011; Lu et al. 2013), potentially exacerbating the issue of oversplitting. The net result of oversplitting in both RAD-Seq and sequence capture data sets is a decrease in the average number of alleles detected within loci. We explored the frequency of oversplitting in RAD-Seq and sequence capture using the data sets from *X. minutus*. We used the loss of alleles at a high similarity threshold (99%) relative to a lower similarity threshold (94%) as an index of the prevalence of oversplitting. We found that using a stringent similarity threshold resulted in an average loss of 19.4% of alleles in the RAD-Seq data set, whereas the same similarity threshold resulted in a loss of 6.9% of alleles in the sequence capture data set (Fig. 3a). Oversplitting appeared to level out around 96% similarity, hence the use of that threshold in the primary analyses. Oversplitting may be more severe in the RAD-Seq data set both because of greater divergence among alleles within RAD-Seq loci relative to sequence-captured ultraconserved elements and because each oversplit locus results in two less variable alignments in RAD-Seq data. In sequence capture, conversely, oversplitting results in only one less variable locus because reads are aligned to a sequence that is determined *a priori*. Although using less stringent similarity thresholds for assembly can alleviate the impact of oversplitting (Ilut et al. 2014; Harvey et al. 2015), RAD-Seq data sets may be more sensitive to this key assembly parameter.

High conservation and low paralogy in sequence capture of conserved loci may improve discrimination of orthologous versus paralogous reads and be more amenable to assembly under low similarity thresholds. Correctly assessing orthology reduces bias in parameter estimates (Maistretta-Yanes et al. 2014), and improves the comparability of genetic diversity and inferences across studies (Harvey et al. 2015). The challenges associated with orthology assessment described above are also present, albeit less severe, in situations in which loci are assembled to a reference genome.

### Variant Calling and Genotyping

Calling variants and genotyping individuals is the next important step after assembly when processing next-generation sequencing data, and this process is equally fraught with potential issues. Polymerase chain reaction (PCR)-related (Dunning et al. 1988; Eckert and Kunkel 1991) and short-read sequencing errors (“sequencing errors”, hereafter) introduce spurious nucleotides or indels that may be identified as alleles if they are not correctly vetted (Dohm et al. 2008). Sequencing errors are problematic in both sequence capture and RAD-Seq data sets. The impact of sequencing errors on a data set can potentially be reduced both by using filters and by calling alleles in a probabilistic framework (Nielsen et al. 2001).

Sequence read depth and evenness of sequence read depth across alleles are perhaps the most critical pieces of information researchers can use to distinguish true alleles from errors. Thus, differences in read depth or evenness across alleles between sequence capture and RAD-Seq may impact the relative success of variant calling between the two methods. Sequence capture and many RAD-Seq approaches require PCR to obtain
We assessed the frequency of putative errors in RAD-Seq and sequence capture data from X. minutus by examining the relative read depth across rare (singleton) SNP alleles we identified in the alignments. As expected, we found that a low read depth filter (requiring 3x coverage per allele) resulted in larger data sets (Supplementary Fig. S5, available on Dryad), but a low read depth filter also resulted in more singleton alleles than assemblies requiring higher coverage (11x) (Fig. 3b and Supplementary Fig. S6, available on Dryad). The number of singletons recovered appeared to level out around 7x coverage in both data sets, which is the reason we selected this threshold for analysis of the primary data sets. The RAD-Seq data set, however, was more impacted by the read depth filter we applied; we recovered 8.0 times as many singletons at 3x depth than we recovered at 11x depth, compared with only 4.6 times as many singletons at 3x versus 11x depth in the sequence capture data set (Fig. 3b). This suggests that a high proportion of singleton alleles in our RAD-Seq data set had low coverage and may represent spurious allele

sufficient template for sequencing, and PCR can result in amplification bias and inconsistent coverage across alleles (Aird et al. 2011). Read depth in sequence capture data sets is often higher in the conserved regions targeted by the probe than in the more variable flanking regions (Fig. 1d), which are critical for calling variants. RAD-Seq data sets may also exhibit high variability in read depth across loci or amplification bias between alleles that decreases the evenness of coverage (DaCosta and Sorenson 2014). In both methods, the minimum of required PCR cycles should be used and DNA polymerases with reduced GC bias should be used to reduce amplification bias (Quail et al. 2012). PCR duplicate reads should be also removed during bioinformatics processing (Casbon et al. 2011). These are straightforward to remove from sequence capture libraries (Sulonen et al. 2011), but PCR duplicate reads cannot be detected in RAD-Seq data sets without adapter modifications (Andrews et al. 2014; Schweyen et al. 2014) because even non-duplicate reads are often entirely overlapping.
calls. Alternatively, the high frequency of singletons in the RAD-Seq data set could be a result, not of lower absolute coverage, but of greater disparity in coverage across loci relative to the sequence capture data set. It is possible that protocols could be optimized to reduce coverage bias, for example by reducing the number of loci targeted in the RAD-Seq protocol or multiplexing fewer samples per sequencing lane.

Aside from sequencing errors, other artifacts can be observed in the allele frequency spectrum and can potentially be removed at the variant calling stage. Any lingering paralogous data present in an assembly (see above) can potentially be vetted during the variant calling process. High heterozygosity is typically attributed to paralogy because it may reflect the inclusion of sequences from two divergent loci in a single alignment (Hohenlohe et al. 2011). Paralogs can be removed by filtering for heterozygosity (although this can also remove highly variable loci or loci under diversifying selection) or by filtering for loci with higher-than-expected read depth. Allele dropout due to restriction site polymorphisms is a different problem that may result in elevated homozygosity because individuals that would be heterozygous appear as homozygotes (but see Gautier et al. 2013), and it is unique individuals that would be heterozygous appear as homozygotes (but see Gautier et al. 2013), and it is unique.

The spectrum of expected allele frequencies in a set of markers affects the ability to detect artifacts. Rare alleles representing errors may be more difficult to identify in conserved loci targeted by sequence capture because we expect a high proportion of rare alleles under purifying selection (Hartl and Clark 2006). Conversely, loci containing paralogous reads resulting in high heterozygosity may be easier to distinguish in conserved loci if there is lower overall heterozygosity in these regions.

Examining allele frequency spectra from the X. minutus data sets reveals patterns that may be due to the artifacts mentioned above and to real differences between RAD-Seq and sequence capture loci (Fig. 3c). The conserved loci recovered from sequence capture had higher overall frequencies of singleton alleles than the RAD-Seq loci (48% of alleles from sequence capture vs. 22% from RAD-Seq; Fig. 3c), consistent with the action of purifying selection. About 77% of RAD-Seq genotypes were homozygous versus 56% of sequence capture genotypes in the X. minutus data set, and the proportion of loci deficient in heterozygotes relative to Hardy–Weinberg expectations was slightly higher in the RAD-Seq (61%) than the sequence capture data set (55%). This discrepancy may be due to a greater effect of allele dropout, PCR bias, or low or uneven sequencing coverage across loci in the RAD-Seq data set resulting in one allele call in heterozygotes, or it may be a result of real genotype frequency differences between the sets of markers. It is difficult to draw conclusions, however, from the differences in allele frequencies between RAD-Seq and sequence capture loci based on a single data set due to the diversity of possible explanations for lower heterozygosity in the RAD-Seq data set, including the possibility that they result from differences in sequencing effort.

Phasing alleles is a final important element in variant calling when researchers need to reconstruct haplotypes. In single-end RAD-Seq alignments, alleles are phased based on whether they occur on the same reads or not (read-backed phasing). In paired-end RAD-Seq and sequence capture, however, reads are not entirely overlapping and phasing of more distant alleles may require probabilistic models. These models use information from panels of reference individuals sampled previously or from other individuals in the data set to impute the most probable combinations of alleles for heterozygous individuals. Model-based phasing introduces an extra step, and potentially additional estimation error, in data sets from paired-end RAD-Seq and sequence capture.

**Information Content**

RAD-Seq generally results in greater total aligned sequence and more potentially informative variable nucleotide sites (hereafter “information”) than sequence capture. The information in RAD-Seq data sets, however, is partitioned into shorter loci. In X. minutus, for example, we assembled 158,329 RAD-Seq loci averaging 95.6 (SD = 0.62) bp in length, whereas for sequence capture we obtained 1358 loci averaging 590 (SD = 209) bp in length (Table 1). The total number of segregating sites for RAD-Seq (213,740) was much higher than for sequence capture (5524), but the mean number of segregating sites per locus was higher for sequence capture: 4.07 (SD = 3.57) versus 1.35 (SD = 1.56). RAD-Seq may be preferable for estimating challenging parameters, at least in recently diverged samples, because the greater number of polymorphisms increases the chances of finding a shared allele on a very short phylogenetic branch or detecting a rare migration event. For approaches requiring more information per locus, sequence capture would be preferable.

**Potential Effects of Biases on Inferences**

The issues described above may shape data sets in ways that make them more or less appropriate or biased for downstream shallow systematics analyses. Sequence capture and RAD-Seq data sets yield broadly concordant results for phylogenetic analyses among species, depending on the steps used for data set assembly (Leaché et al. 2015; Collins and Hrbek 2015; Manthey et al. 2016), but their relative utility for population genetic and phylogeographic analyses that are applied within species is largely unexplored. In this section, we discuss how these issues might impact a...
range of typical population genetic, phylogeographic, and phylogenetic analyses that are often applied at shallow timescales. The results of analyses of the empirical data sets presented here are not intended as a direct comparison of the applicability of RAD-Seq and sequence capture data, which in reality would probably not be examined with the same methods, but rather to demonstrate how the issues discussed above can result in divergent inferences between methods.

Genome-wide scans to identify signatures of selection or gene flow are often conducted in studies using RAD-Seq loci due to their dense distribution across the genome (Hohenlohe et al. 2010). Conserved regions targeted by sequence capture may be insufficiently dispersed across the genome for use in genome-wide scans. As discussed above, mapping RAD-Seq loci to divergent genomes is challenging, thus RAD-Seq may not be appropriate for identifying the genomic context of outlier loci in species without available genome assemblies. As with many markers, RAD-Seq loci may come from heterogeneous genomic regions impacted by diverse neutral and non-neutral processes, so scans will need to account for alternative explanations of outlier loci or migrant alleles.

Demographic inference is popular in population genetics and phylogeography, and may be affected by the distribution of allele frequencies in a data set. Purifying selection on conserved regions may leave signatures, such as an excess of rare alleles, that complicates estimation of historical demographic histories. Allele loss and heterozygote deficiencies in RAD-Seq data sets may also affect estimates of demographic parameters including \( \theta = 4N_e \mu \) and admixture. We estimated demographic parameters using gene trees in BPP and v3.2 (Yang and Rannala 2010) and using SNP frequency spectra in iasp v1.70 (Gutenkunst et al. 2009) with both RAD-Seq and sequence capture data from X. minutus. The demographic model used included two daughter populations comprising the four samples from west of the Andes Mountains and the four samples from east of the Andes Mountains, both of which diverged from a common ancestral population. We compared estimates of effective population size by normalizing the divergence time estimates from RAD-Seq and sequence capture data sets. We found that in both BPP and iasp results, effective population sizes in the daughter populations were fairly similar between data sets (Supplementary Tables S3 and S4, available on Dryad), but the estimate of ancestral effective population size was lower from sequence capture than from RAD-Seq data (Fig. 4b and Supplementary Fig. S7, available on Dryad). The higher ancestral population size in the RAD-Seq data could be due either to the loss of shared variation among the daughter populations as a result of allele dropout in the RAD-Seq data set, or to the high frequency of rare alleles restricted to a single population in the sequence capture alignments. In addition, heterozygote deficiencies in the RAD-Seq data set may underlie the somewhat lower population sizes estimated in the daughter populations than those estimated in the sequence capture data set.

Phylogenetic tree estimation to reconstruct the relationships between populations is commonly used in shallow systematics studies. Phylogenetic estimation may be complicated if allele loss results in a downward bias in the mutational spectrum (Knowles 2014). This bias may produce shallower gene trees and lower genetic distances (Harvey et al. 2015), particularly between the most divergent individuals in a study. We examined branch lengths from X. minutus trees inferred using BUCKy v1.4.3 (Larget et al. 2010), which are estimated in coalescent units based on quartet concordance factors for each branch. As observed in prior studies (Leaché et al. 2015), internal branch lengths from BUCKy trees estimated from RAD-Seq data were short relative to those estimated from sequence capture data in X. minutus, perhaps as a result of the loss of the most divergent alleles (Fig. 4c,d). Terminal branches in BUCKy trees for X. minutus are determined by the gene trees from loci in which individuals are homozygous for rare alleles. These branch lengths are longer in the RAD-Seq tree than in the sequence capture tree, consistent with the high levels of homozygosity we observed in the RAD-Seq data set. The difference in relative branch lengths between RAD-Seq and sequence capture trees was not evident in trees estimated from SNPs using SNApPA (Bryant et al. 2012), likely because SNApPA removes sites with missing data, which would bias overall tree depth rather than relative branch lengths (Supplementary Fig. S8, available on Dryad). Despite the differences in phylogenetic branch lengths, relative genetic distances corrected using a JC69 model (Jukes and Cantor 1969) among individuals were highly correlated between RAD-Seq and sequence capture X. minutus data sets (CADM test coefficient of concordance = 0.935, p < 0.001, Fig. 4a).

Both RAD-Seq and, to a lesser extent, sequence capture loci have low per-locus information relative to many of the genes traditionally targeted for Sanger sequencing in systematics. Low per-locus information content complicates analyses that depend on accurate parameter estimates from individual loci. It may be challenging to fit models of molecular evolution to loci due to their low information content, and poorly resolved gene trees may complicate analyses such as gene tree–species tree estimation (Lanier et al. 2014). Concordance analysis of gene trees from RAD-Seq and sequence capture in X. minutus using BUCKy (Larget et al. 2010) revealed that consensus relationships were supported by relatively few loci (Fig. 4c,d). Most gene trees contained polytomies as a result of low information content in alignments. Concordance was lower among RAD-Seq loci than among sequence capture loci, presumably due to the lower resolution of RAD-Seq gene trees. The consensus trees inferred across loci from both methods were topologically identical, however, both using BUCKy (Fig. 4c,d) and SNApPA (Supplementary Fig. S8, available on Dryad). Moreover, nearly all nodes had high support in the SNApPA trees from both RAD-Seq and sequence capture. Methods that successfully integrate across the small amounts of information present in many loci,
including methods that examine independent SNPs, may be desirable for sequence capture and particularly RAD-Seq data sets.

The large data sets produced by RAD-Seq and sequence capture raise computational concerns. Although the sizes of both RAD-Seq and sequence capture data sets can be tailored according to researcher needs, RAD-Seq data sets are generally larger. Depending on the question being addressed, very large data sets may not be needed and additional data may unnecessarily complicate analyses (Davey et al. 2011). Conversely, evolutionary events that are difficult to estimate may require large amounts of data to address, and larger data sets also offer the ability to subsample loci informing a research question post hoc. To take advantage of the information in large data sets, computationally demanding methods may have to take a back seat to faster summary methods (e.g., Liu et al. 2009; Larget et al. 2010; Chaudhary et al. 2014).

**COMPARING ACROSS DATA SETS AND CALIBRATING PARAMETERS**

The same RAD-Seq loci often cannot be recovered across divergent species due to mutations at restriction sites (Rubin et al. 2012) or variation in sequence coverage. Studies have successfully recovered some shared loci at moderately deep (~60 Ma) timescales in *Drosophila* (Rubin et al. 2012; Cariou et al. 2013), but sequence capture is substantially more effective for recovering the same loci, even at very deep timescales (up to ~400 Ma; Faircloth et al. 2012; 2013). In birds, a comparison of population-level RAD-Seq data sets from across four species widely distributed across the avian tree of life found that only 0.3–0.8% of loci overlapped across all four (McCormack et al. 2012), whereas population-level sequence capture data sets between any 2 of 40 bird species from diverse families had an average of 92% overlap (Supplementary Information, available on Dryad).

When identical loci cannot be recovered in different data sets, comparability among studies relies on the assumption that the set of loci in each species represents a random sample from the genome. Based on the discussion above, however, the diversity present in RAD-Seq data sets is not random with respect to the level of genetic variation and genome complexity in the species being examined. Oversplitting is a major issue in RAD-Seq data sets and it disproportionately affects species with higher natural levels of divergence.
among alleles (Huang and Knowles 2014; Harvey et al. 2015). Species with higher divergence will lose more variation than those with lower divergence, resulting in a normalization of the variation present across data sets assembled with the same parameters. In addition, undersampling may be a greater issue in species with repetitive genomes (Ilut et al. 2014; Harvey et al. 2015). Both oversampling and undersampling, therefore, could result in similarities or differences among species that are artificial. Methods are available for informed selection of assembly parameters to reduce the effects of oversampling and undersampling (e.g., Ilut et al. 2014; Harvey et al. 2015), but they are not widely applied, and it is unclear whether they will be sufficient to permit comparisons across species. Any differences among data sets in the restriction enzymes or in the assembly strategies used among studies will further reduce comparability. Therefore, similar to studies of microsatellites, many analyses using RAD-Seq loci cannot easily be compared across species. Sequence capture of loci containing conserved regions is preferred for obtaining genomic data from a standard set of loci if there is to be hope that data sets or inferences could be directly compared across species. Parameter calibration is also problematic when data sets are not comparable across species. In species or groups without fossil data or divergences tied to dated geological events, estimating absolute values for demographic and phylogenetic parameters requires calibration, typically by applying standardized substitution rates. Mutation rates, however, can only be adopted from other studies when the loci examined are the same or are expected to have similar rates of evolution. Because different loci are examined in RAD-Seq data sets, and the mutation rate in a data set may be contingent on the impact of the assembly issues mentioned above, there is little hope of developing standardized mutation rate estimates. Calibration across species should be possible in sequence capture data sets, however, if data sets are assembled and variants called in the same way, if the alignments are trimmed such that they contain the same sites across species, and if subsets of clock-like loci are identified (e.g., Doyle et al. 2015).

CONCLUSIONS

Although prior studies suggest RAD-Seq and sequence capture are both useful for shallow systematics and we observed broad concordance in RAD-Seq and sequence capture data sets and resulting inferences, the differences observed and discussed above suggest these approaches are not equally useful for different applications in shallow systematics. Sequence capture holds more promise for obtaining data sets that are comparable across species and for calibrating parameter estimates for demographic or phylogeographic studies. In addition, sequence capture is useful because marker sets can be tailored according to the needs of the researcher, because it is particularly effective with low-quality samples, because data from new samples can be easily added to an existing data set, because orthology of sequence reads is relatively straightforward to assess, and because sequences could be useful in other studies at deeper evolutionary timescales. Completely or partially shared probe sets among studies will result in a growing, open source data matrix that can be used for comparative phylogeographic and phylogenetic analyses at multiple taxonomic scales. RAD-Seq will continue to be useful as a fast and inexpensive means to obtain large amounts of data, and its application to single-species population studies, genome scans, groups without genomic information, and species with very shallow histories is sure to continue. We suggest, however, that sequence capture should be preferred, given sufficient resources, due to the higher comparability and extensibility of data sets.

We anticipate that the importance of the issues described in this article on data sets from sequence capture and RAD-Seq will change as the methods for each evolve and improve. Moreover, new methods are sure to appear (e.g., Ali et al. 2015; Niedźwica et al. 2016; Hoffberg et al. 2016) and existing methods such as whole-genome sequencing and re-sequencing will become more affordable in the near future. Many of the issues we have described transcend the genomic methods discussed here, however, and will continue to be relevant in discussions of the utility of new methods. Regardless of the method applied, a premium should be placed on maintaining comparability with other studies such that results and inferences can be properly incorporated into the body of systematics literature as a whole.

SUPPLEMENTARY MATERIAL


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